



Third Taxing District

2 Second Street
East Norwalk, CT 06855

Tel: (203) 866-9271
Fax: (203) 866-9856

Third Taxing District of the City of Norwalk Commission Meeting

Monday March 3, 2014 at 7:00 PM

At the Third Taxing District Office, 2 Second Street, East Norwalk, CT

1. Public comment – 15 minute limit
2. Minutes of meeting – January 6, 2014, Special Meeting January 9, 2014 & February 3, 2014 – (Pages 1 – 12)
3. Summer Concert – (John Kydes)
4. District Budget Presentation for Annual Meeting – (Ron Scofield) – (Pages 13 – 32)
5. Electric Vehicle Charging Facility – (Pages 33 – 51)
6. General Manager's Report
 - Follow-up on Fitch St. Substation Plaque – (Page 52)
7. Discussion/Analysis of Financial Statements / Key Performance Indicators – (KPI's) – (Pages 53 – 58)
8. Executive Session
 - Maplewood
9. Adjourn

Agenda backup material is available at the TTD office, www.ttd.gov and will be available at the meeting.

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District Commissioners

Charles L. Yost 203-853-0837
David L. Brown 203-866-8099
Debora Goldstein 203-252-7214

Chairman
Commissioner
Commissioner

James Smith 203-866-9271
Ron Scofield 203-866-9271
Michael Intrieri 203-866-3001

General Manager
Assistant General Manager
Treasurer

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THIRD TAXING DISTRICT
of the City of Norwalk
Regular Meeting
January 6, 2014

ATTENDANCE: Commissioners: Charles Yost, Chair; Debora Goldstein, David Brown,
Treasurer: Dr. Michael Intieri

OTHERS: Joseph Cristino, Fire Chief Denis McCarthy, Capt. James Hines,
Firefighter Dacunto, Taber Hamilton, III

CALL TO ORDER.

Commissioner Yost called the meeting to order at 7:00 p.m. A quorum was present.

PUBLIC COMMENT.

No one present wished to comment at this time.

**MINUTES OF MEETING, DECEMBER 2, 2013 &
SPECIAL MEETING DECEMBER 11, 2013.**

December 2, 2013 Regular Meeting.

The following corrections were noted:

Page 1, under **ATTENDANCE**, please change “Michael Intreri, Treasurer” to Dr. Michael
Intieri, Treasurer.

Page 1, under **Minutes of meeting November 6, 2013**, line 2: please change the following:
“Debra to Debora”

**** COMMISSIONER BROWN MOVED THE MINUTES OF DECEMBER 2, 2013 AS
CORRECTED.**

**** COMMISSIONER GOLDSTEIN SECONDED.**

**** THE MOTION TO APPROVE THE MINUTES OF DECEMBER 2, 2013 AS
CORRECTED PASSED UNANIMOUSLY.**

December 11, 2013 Special Meeting.

The following corrections were noted:

Third Taxing District
of the City of Norwalk
Regular Meeting
January 6, 2014

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Page 1, under **ATTENDANCE**, please change "Treasurer: Michael Intreri," to Treasurer: Dr. Michael Intrieri"

Page 1, under **PUBLIC** please change "Tabor Hamilton II" to "Taber Hamilton III"

**** COMMISSIONER GOLDSTEIN MOVED THE MINUTES OF DECEMBER 11, 2013 AS CORRECTED.**

**** COMMISSIONER BROWN SECONDED.**

**** THE MOTION TO APPROVE THE MINUTES OF DECEMBER 11, 2013 AS CORRECTED PASSED UNANIMOUSLY.**

PRESENTATION BY NORWALK FIRE CHIEF - RENOVATIONS TO EAST NORWALK FIRE HOUSE.

Fire chief McCarthy made a request for \$30,000.00 for proposed remodeling of the East Norwalk Fire Station. He proposed and displayed the plan to the Commission. He explained that the project had gone through the City of Norwalk bid process, but the first bid results were not acceptable. Discussion about the details of the project followed with no action taken by the Commission.

Mr. Smith then gave a brief overview of the District financial budget. Fire Chief McCarthy said that the Department was working on the HVAC bid.

**** COMMISSIONER GOLDSTEIN MOVED TO SUSPEND THE RULES TO CONSIDER AGENDA ITEM #7 - UPDATE ON OUTAGE MANAGEMENT SYSTEMS AND AGENDA ITEM # 6 - FINAL UPDATE ON FITCH STREET/CERVALIS PROJECTS NEXT.**

**** COMMISSIONER BROWN SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

UPDATE ON OUTAGE MANAGEMENT SYSTEMS.

Mr. Smith said that for the utility the size of the TTD, it would not be practical to have an emergency notification system to inform the customers when an outage occurs. Mr. Smith said that the City has the Notify Norwalk system that might be more efficient. Fire Chief McCarthy said that since the Notify Norwalk system has unlimited minutes, the system could be made available for the TTD if needed. He gave a brief overview of the protocol. Mr. Smith said that he felt that it would be a good way to notify the District if there were outages. Fire Chief McCarthy said that the Notify Norwalk system covers the entire city and can target just the District customers. The residents that have landlines are automatic enrollments. Cell phones and email notification need to be self-enrolled.

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**** COMMISSIONER GOLDSTEIN MOVED THAT THE TTD INTERFACE WITH THE CITY'S NOTIFY NORWALK EMERGENCY NOTIFICATION SYSTEM.**

**** COMMISSIONER BROWN SECONDED.**

**** THE MOTION PASSED WITH TWO IN FAVOR (YOST AND GOLDSTEIN) AND ONE AGAINST (BROWN).**

Commissioner Brown said that he felt that people in a different area of the District would be getting calls about an outage in another section of the District. Fire Chief McCarthy said that the system was able to narrow down the call area to individual streets. Mr. Smith said that the smaller, shorter duration outages would not utilize the system, but the Notify Norwalk system would work better when there was a longer outage or larger outage area. Fire Chief McCarthy said that it would be good to encourage people to register their cell phones and their email addresses so that if they are working in a different town and the power goes out, they can be notified of the issue.

**** COMMISSIONER BROWN MOVED TO RECONSIDER THE VOTE REGARDING TTD INTERFACING WITH THE CITY'S NOTIFY NORWALK EMERGENCY NOTIFICATION SYSTEM.**

**** COMMISSIONER GOLDSTEIN SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

FINAL UPDATE ON FITCH STREET/CERVALIS PROJECTS.

Mr. Joe Cristino came forward and gave a PowerPoint overview on the status of both the Cervalis and Fitch Street project status.

Mr. Cristino said that there were three outstanding items that remained to be done at Fitch Street, one being a curb cut, the second was tying in the bathroom in the control house, and the final one is the landscape plantings, which will be done in the spring.

Mr. Smith then distributed copies of the financial sheets for the project and reviewed it with the Commissioners. Discussion followed about the allocation of the remaining funding. Mr. Smith will provide the Commissioners with several suggestions about allocating the remaining funding for the next meeting.

FITCH STREET FENCE.

Commissioner Brown then spoke about the cost of tree plantings and a 12 foot fence along the Accurate Auto property line. Mr. Smith reviewed the bids for the security fence. Discussion followed about possible options for the fencing.

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- ** COMMISSIONER YOST MOVED TO APPROVE INSTALLATION OF SCREENING ON THE EXISTING FENCE LOCATED ON THE PROPERTY LINE WITH ACCURATE AUTO ON FITCH STREET.**
- ** COMMISSIONER GOLDSTEIN SECONDED.**
- ** THE MOTION PASSED UNANIMOUSLY.**

BANNER HEIGHT ISSUE.

Commissioner Brown said that there was not enough contrast at 20 feet to see the design. Mr. Smith said that over the last several weeks, several banners have been lowered to be more visible. The bottom of the banner is at 10 or 11 feet. Commissioner Yost said that he would like to see the Calf Pasture Beach Road banners lowered to 8 feet so that the Commissioners would be able to evaluate the height.

GENERAL MANAGER'S REPORT.

Discussion on Participation in City-wide Summer Concert.

Mr. Scofield said that he had been contacted by Council Member John Kydes regarding the summer concert scheduling. Commissioner Goldstein said that she had concerns about scheduling conflicts. Commissioner Yost suggested that Council Member Kydes be invited to present this to the Commissioners at the next meeting.

Fitch Street Substation Plaque.

Mr. Smith then distributed documents regarding the suggestions from the Commissioners. Discussion followed about the details. The Commissioners agreed on the following wording for the plaque:

Fitch Street Substation

Established 2013

This substation is dedicated to the Third Taxing District Commissioners whose service and perseverance helped in creating this Fitch Street Substation and has kept the Electric Department a viable enterprise since 1913.

Third Taxing District Commissioners.

Charles Yost, Chairman

Third Taxing District
of the City of Norwalk
Regular Meeting
January 6, 2014

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David Brown, Commissioner

Debora Goldstein, Commissioner

Dr. Michael Intrieri, Treasurer

Discussion /Analysis of Financial Statements/Key Performance Indicators.

Mr. Smith then reviewed the financial statements and the KPI indicators with the Commissioners.

ADJOURNMENT.

**** COMMISSIONER YOST MOVED TO ADJOURN.**

**** COMMISSIONER GOLDSTEIN SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

The meeting adjourned at 8:38 p.m.

Respectfully submitted

Sharon L. Soltes
Telesco Secretarial Services

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THIRD TAXING DISTRICT
Of the City of Norwalk
Special Meeting
January 9, 2014

ATTENDANCE: Commissioners: Charles Yost, Chair; David Brown; Debora Goldstein
Treasurer: Michael Intrieri

STAFF: James Smith, General Manager; Ron Scofield, Assistant General Manager
Matt Allred, District Accountant

CALL TO ORDER

Chairman Yost called the meeting to order at 8:18 p.m. A quorum was present.

PUBLIC COMMENT

There were no members of the public present.

DISCUSSION OF RATE STUDY

There was discussion and questions asked regarding the various aspects of the rate study so far. This resulted in the following motions .

****COMMISSIONER YOST MOVED THAT WE SHARE OUR COST SAVINGS IN THE FORM OF A RATE REDUCTION OVER 3 YEARS TOTALLING 11.55% (5% THE FIRST YEAR, 4% THE SECOND YEAR AND 2.55% IN THE THIRD YEAR), AS SUPPORTED BY THE RATE STUDY.**

****COMISSIONER BROWN SECONDED.
THE MOTION PASSED UNANIMOUSLY

****COMMISSIONER GOLDSTEIN MOVED TO AUTHORIZE DAWN LUND TO MOVE AHEAD PROVIDING RECCOMMENDATIONS FOR A PCA ADJUSTMENT FORMULA AT A COST OF \$3,000.(PLUS OR MINUS 10%).**

****COMMISSIONER YOST SECONDED
THE MOTION PASSED UNANIMOUSLY

****COMMISSIONER GOLDSTEIN MOVED, BASED UPON THE**

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**RECOMMENDATIONS AND THE COST OF SERVICE STUDY, ANY CHANGES
IN THE FUTURE ARE TO BE REVENUE NEUTRAL**

****COMMISSIONER BROWN SECONDED
THE MOTION PASSED UNANIMOUSLY

****COMMISSIONER GOLDSTEIN MOVED TO ADJOURN
**COMMISSIONER BROWN SECONDED
THE MOTION PASSED UNANIMOUSLY

The meeting adjourned at 9:29 P.M.

Respectfully submitted

**Ronald Scofield
District Clerk**

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THIRD TAXING DISTRICT
of the City of Norwalk
February 3, 2014

ATTENDANCE: Commissioners: Charles Yost, Chair; Debora Goldstein,
Dr. Michael Intrieri

STAFF: James Smith, General Manager; Ron Scofield, Assistant
General Manager.

OTHERS: Joseph Cristino; Rob Foster, Eaton; Chris Elliston, Eaton; Stan Siegel,
East Norwalk Library; Jim Anderson

CALL TO ORDER.

Commissioner Yost called the meeting to order at 7:00 p.m. A quorum was present.

PUBLIC COMMENT.

No one present wished to comment at this time.

MINUTES OF MEETING, JANUARY 6, 2014 &
SPECIAL MEETING JANUARY 9, 2014.

**** COMMISSIONER GOLDSTEIN MOVED TO TABLE THE MINUTES OF THE
JANUARY 6, 2014 & SPECIAL MEETING JANUARY 9, 2014 TO THE NEXT
REGULAR MEETING.**

**** COMMISSIONER YOST SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

**** COMMISSIONER YOST MOVED TO SUSPEND THE RULES TO ADD AN ITEM
REGARDING AN UPDATE FROM JOE CRISTINO AND ROB FOSTER FROM
EATON CORPORATION, ON THE RECENT SYSTEM WIDE OUTAGES, TO THE
AGENDA.**

**** COMMISSIONER GOLDSTEIN SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

Mr. Smith said Mr. Cristino was TTD'S Engineering consultant on the Fitch Street project and that Eaton was the construction company for that project. Mr. Smith then reported that there were four outages, of varying duration since the 14th of December, related to the operation of the Fitch Street Substation, based on existing Department records.

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They were as follows: one on December 14th, the second on December 17th, the third on January 22nd and the fourth on February 1st. He stated that the December 14th outage lasted from an hour to an hour and a half. The December 17th lasted approximately 35 minutes. The third outage on January 22nd was 45 minutes in duration and the fourth outage on February 1st lasted twenty minutes.

Two of the outages were due to relay settings at the new station. The third one was an equipment failure and the fourth was due to a squirrel getting into the equipment.

Mr. Cristino then said that the turn on of the station was 15 seconds. It was determined that there were two outages on the 14th. Discussion followed about this. Mr. Yost asked if there would be a minute by minute log of the outages including duration and the cost. Mr. Smith said that this would be done from now on.

Mr. Cristino said that two of the major outages were a result of the transfer of a potential power load from one site to another. He then passed around photographs of the area where the transformer exploded. A claim is being prepared for submission to the company for the transformer involved in the January 22nd outage. There will be an analysis of the transformer to determine the cause of the catastrophic failures.

Mr. Foster said that there had been some response to the coordination study, which is an operation that turns the breakers on and off. The primary breaker had settings that were too conservative.

Mr. Cristino then passed out a photo showing where the squirrel had entered the transformer a week later. He then gave an overview of the actions that were taken following this outage. Discussion followed about the age of the technology, the installation of critter guards, and the five year maintenance plan, which has been developed in TTD's existing substations

EAST NORWALK LIBRARY - BUDGET REQUEST.

Mr. Siegel and Mr. Anderson came forward. Mr. Siegel said that the East Norwalk Library was not asking for an increase in their operating budget. He distributed a newsletter that contained the proposed budget and an additional sheet that analyzed the value of the items borrowed.

Mr. Siegel then gave an overview of the Library's capital budget. One of the requests was for new parking lot signage and striping the parking spaces. He said that he could get the signage done at no cost other than materials to TTD if TTD would stripe the parking lot.

The striping and the signage of the parking lot are a priority. Updating the fixtures in the downstairs hall kitchen would be a priority. Mr. Anderson said that there was a possibility of

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having cabinetry donated. Mr. Siegel said he was working on this. Discussion followed about using the same cleaning company that TTD uses.

Dr. Intrieri asked for a cost estimate of the Capital budget projects. Mr. Smith then directed everyone to the District budget where the Library Capital budget projects were listed. Discussion followed. This item will be included for a vote on the next agenda.

DISTRICT BUDGET PRESENTATION FOR ANNUAL MEETING.

Mr. Scofield then directed everyone's attention to the proposed District budget as outlined in a memo dated January 29, 2014. The Annual meeting will be held at Marvin School due to ADA compliance issues regarding the East Norwalk Library Meeting Room. Discussion followed about the various issues. It was decided that the Commission would approve the budget at the March meeting.

Dr. Intrieri asked about the estimated cost of \$1,000 for renting the school. Discussion followed about the cost of the rental and when the staff would be able to enter the building for set up.

AWARD OF BID.

Mr. Smith said that bids had been released for the demolition of the house and garage at 18 Rowan Street. Two reputable companies submitted bids. He recommended that the Commission approve Conte for the job, which will also include removal of the foundation on the parcel.

**** COMMISSIONER YOST MOVED TO APPROVE THE CONTE CONSTRUCTION AS OUTLINED ON MR. SMITH'S MEMO DATED JANUARY 14, 2014.**

**** COMMISSIONER GOLDSTEIN SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

UPDATE ON CODE RED SYSTEM.

Mr. Smith said that he had spoken with Fire Chief McCarthy regarding the Code Red System and that Chief McCarthy was recommending that it should be limited to outages exceeding four hours. Mr. Smith said that activating this system would require major compilation of data. Discussion followed whether this would be effective. Mr. Smith suggested developing a more tailored program for TTD. He said that he was working with the various resources to come up with a comprehensive solution to notify people about the outages.

OPTIONS - PROJECT FUNDS.

Mr. Smith said that all the bills for Fitch Street and Cervalis have been paid and there are some excess funds remaining. Mr. Smith then reviewed three options and recommended that the

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excess funds be transferred into the Capital account. This item will be included on the next agenda.

GENERAL MANAGER'S REPORT.

Follow up on Fitch Street Substation Plaque.

Mr. Smith said that he had contacted the vendor Mr. Brown had recommended.

**** COMMISSIONER GOLDSTEIN MOVED TO APPROVE THE RECOMMENDED VENDOR WITH THE PRICE OF \$995 PLUS SHIPPING AND HANDLING AND A 1% TO 2% PLUS OR MINUS VARIATION.**

Dr. Intieri said that he knew the vendor and might be able to secure a better price.

**** COMMISSIONER GOLDSTEIN MOVED TO WITHDRAW HER PREVIOUS MOTION.**

**** COMMISSIONER GOLDSTEIN MOVED TO APPROVE THE RECOMMENDED VENDOR WITH THE PRICE NOT TO EXCEED \$995.00 PLUS SHIPPING AND HANDLING.**

**** COMMISSIONER YOST SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

DISCUSSION/ANALYSIS OF FINANCIAL STATEMENT / KEY PERFORMANCE INDICATORS.

Mr. Smith reviewed the details of the Financial Highlights as included in the Commissioners' packet. He reported that one of the generators caught on fire approximately 10 days ago and will result in significant spike in the maintenance cost budget line, for the Norden generators.

ADJOURNMENT.

**** COMMISSIONER GOLDSTEIN MOVED TO ADJOURN.**

**** COMMISSIONER YOST SECONDED.**

**** THE MOTION PASSED UNANIMOUSLY.**

The meeting adjourned at 8:36 p.m.

Respectfully submitted,

Third Taxing District
of the City of Norwalk
Regular Meeting
February 3, 2014

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Sharon L. Soltes
Telesco Secretarial Services

Third Taxing District
of the City of Norwalk
Regular Meeting
February 3, 2014

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Third Taxing District

2 Second Street
East Norwalk, CT 06855

Tel: (203) 866-9271

AGENDA

Annual Meeting of the Electors of the Third Taxing District of the City of Norwalk, Wednesday, March 5, 2014, at 7:00 p.m., at The Marvin Community Room, 60 Gregory Boulevard, East Norwalk, Connecticut.

1. Welcome and call to order
2. Introductions
3. Reading and acceptance of the call
4. Election of Annual Meeting Chairman
5. Election of Annual Meeting Secretary
6. Report of the District Chairman
7. Financial report of the operation of the Electric Department for the fiscal year July 1, 2012 through June 30, 2013
8. Financial report of the East Norwalk Improvement Association for the operation of the East Norwalk Improvement Association Library for the fiscal year July 1, 2012 through June 30, 2013 and budget for the fiscal year July 1, 2014 through June 30, 2015
9. Financial report of the District for the fiscal year July 1, 2012 through June 30, 2013 and budget for the District for the fiscal year 2014-2015
10. Question and Answer
11. Authorization to approve the District Budget and transfer funds from the Electric Department to the District account to cover the budget requirements for the fiscal year July 1, 2014 through June 30, 2015
12. Adjourn

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District Commissioners

| | | | | | |
|-------------------------|--------------|--------------|-------------------------|--------------|---------------------------|
| Charles L. Yost | 203-853-0837 | Chairman | James Smith | 203-866-9271 | General Manager |
| David L. Brown | 203-866-8099 | Commissioner | Ron Scofield | 203-866-9271 | Assistant General Manager |
| Debora Goldstein | 203-252-7214 | Commissioner | Michael Intrieri | 203-866-3001 | Treasurer |

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Third Taxing District

2 Second Street
 East Norwalk, CT 06855
 Tel: (203) 866-9271

General Meeting Presentation Outline – Third Taxing District Annual Meeting – March 5, 2014

| Item | Presenter | Begin By | Approx. Time (minutes) |
|--|-------------------|----------|------------------------|
| 1. Welcome, Call to Order, Introductions and Overview | Charlie Yost | 7:00 PM | 5 |
| 2. Reading and Acceptance of the meeting Notice – Page 3 | Charlie Yost | 7:10 PM | 5 |
| 3. Election of a Meeting Chairman | Charlie Yost, All | 7:15 PM | 5 |
| 4. Election of a Meeting Secretary | Meeting Chairman | 7:20 PM | 5 |
| 5. Report of District Chairman – Page 4 - 6 | Charlie Yost | 7:25 PM | 5 |
| 6. Electric Department Report – Page 7 - 12 | Jim Smith | 7:30 PM | 10 |
| 7. East Norwalk Improvement Assoc. Library Report & Budget - Page 13 -20 | Stan Siegel | 7:40 PM | 15 |
| 8. District Report & Budget – Page 21 | David Brown | 7:55 PM | 10 |
| 9. Public Question & Answer | All | 8:05 PM | 15 |
| 10. Vote to Approve District Budget & Transfer Funds | All | 8:20 PM | 10 |
| 11. Adjourn | All | 8:30 PM | |

District Commissioners

Charles L. Yost 203-853-0837 Chairman
 David L. Brown 203-866-8099 Commissioner
 Debora Goldstein 203-252-7214 Commissioner

James Smith 203-866-9271 General Manager
 Ron Scofield 203-866-9271 Assistant General Manager
 Michael Intrieri 203-866-3001 Treasurer





Third Taxing District

2 Second Street
East Norwalk, CT 06855

Tel: (203) 866-9271

NOTICE

The legal voters of the Third Taxing District of the City of Norwalk are hereby notified and warned that a meeting of said District will be held at The Marvin Community Room, 60 Gregory Boulevard, East Norwalk, Connecticut, on Wednesday March 5, 2014 at 7:00 P.M. for the following purposes:

To receive the report of the District Commission Chairman, the financial report of the District for the fiscal year July 1, 2012 through June 30, 2013, and the financial report of the operation of the Electrical Department for the fiscal year July 1, 2012 through June 30, 2013.

To receive the financial report of the Directors of the East Norwalk Improvement Association for the operation of the East Norwalk Improvement Association Library for the fiscal year July 1, 2012 through June 30, 2013, and budget thereof for the fiscal year July 1, 2014 through June 30, 2015.

To act on the District budget for the fiscal year July 1, 2014 through June 30, 2015, including the appropriation of funds to cover the budget requirements of the District for care and use of parks owned by the District, care of the East Norwalk Historical Cemetery, salaries of District Officials and support of the East Norwalk Improvement Association Public Library, for the fiscal year July 1, 2014 through June 30, 2015.

To approve the District budget and to transfer funds from the Electrical Department to the Third Taxing District account to cover the budget requirements for the fiscal year July 1, 2014 through June 30, 2015.

Ronald Scofield
District Clerk
February 11, 2014

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District Commissioners

| | | | | | |
|-------------------------|--------------|--------------|-------------------------|--------------|---------------------------|
| Charles L. Yost | 203-853-0837 | Chairman | James Smith | 203-866-9271 | General Manager |
| David L. Brown | 203-866-8099 | Commissioner | Ron Scofield | 203-866-9271 | Assistant General Manager |
| Debora Goldstein | 203-252-7214 | Commissioner | Michael Intrieri | 203-866-3001 | Treasurer |

2014 REPORT ADDENDUM FROM CHAIRMAN CHARLIE YOST “POWERING THE FUTURE”

This year’s Chairman’s report, like last year’s, focuses on the accomplishments of the last six to eight months, as well as our ongoing initiatives for 2014. There were several significant milestones achieved by TTD in 2013, including:

- In conjunction with our 100th Anniversary, TTD underwent a transformation which included branding, a new, customer-centric website and the re-launch of the TTD newsletter. Each of these initiatives was successfully completed and focused on our mission of providing consistent customer service.
- “Power on the Sound”, TTD’s 100th anniversary celebration was held at Calf Pasture Beach in July. Open to the public and foremost, the citizens of East Norwalk, attendees were treated to numerous musical, dance & magic performances throughout the day and into the late evening. Families enjoyed the large Touch-A-Truck area, an interactive water safety presentation by Stew Leonards’ Stewie the Duck, exhibits from Eaton Corporation, CMEEC and other local businesses, face painting, and numerous raffles and giveaways.
- Completion of the Fitch Street Sub-Station, on-schedule and within budget, in December capped off the year. The Fitch Street Substation was completed in less than 33 months, an impressive accomplishment based on location and regulatory requirements and the multi-layered coordination and collaboration outlined in the aggressive project timeline. Ultimately, the sub-station was built for future expansion; however, it will effectively serve the East Norwalk community for the next 30-40 years as is.
- The addition of a large new customer load for the District due to the construction of the Cervalis Data Center project at Norden Place. This project was also completed in December, resulting in the construction of a “mini-substation”, and could eventually result in an additional 8MW load, nearly doubling the overall capacity of TTD’s system. The addition of the new Fitch Street substation to TTD’s substation fleet will provide ample supply to feed the new data center. Additional revenues collected from this customer will continue to allow TTD to remain financially stable, offsetting some of the cost increases we have sustained in the past few years, while keeping rates as low as possible for the foreseeable future.

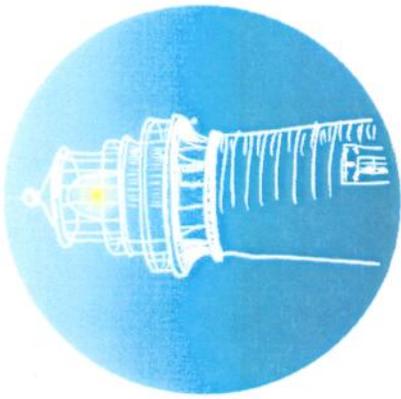
Looking ahead:

- TTD applied for and was awarded a \$4,000 grant from the Dept. of Energy and Environmental Protection’s Publicly-Accessible Electric Vehicle (EV) Infrastructure Funding Program for the purpose of building an EV Charging Station in East Norwalk.

EVs are a key component of CT's cheaper, cleaner and reliable energy future and our participation in this program puts East Norwalk on a path toward greater energy independence. TTD's commission is currently reviewing and discussing potential locations for the construction of the charging station.

- TTD is developing and implementing a strategic blueprint to improve customer communications through our phone system, newsletter and website as well as the finalization of a comprehensive emergency plan covering both short term events and longer term events in cooperation with the City's emergency plan.
- TTD has also instituted an aggressive preventative maintenance program during the past year as part of an overall 5 Year Capital Plan that was presented and endorsed by the Commission. The purpose of this program is to phase in needed improvements to the electric distribution system over time while minimizing the impact on the department's ratepayers. One of the priorities will be replacing one of the two power transformers in the East Ave substation, which serves as a companion station to Fitch Street. This transformer is currently being used only when necessary and has been in operation since 1959.
- TTD is also working in many other areas of the operation in order to create efficiencies and enhance our ability to serve the customer more effectively. As an example, we are in the process of converting all of our meters in the field to a "radio read" system, which will allow our meter readers to read all of our meters in less time and more accurately. This will allow us to create a more accurate billing statement for the customer. We will also be making changes to the bill format as a result of upgrading our IT programs and infrastructure, making it more informative and easier to read and understand. On the operations side, we have recently purchased the property adjacent to our Rowan St. substation in order to expand the footprint of the substation. This expansion will give us the ability to construct a storage facility at some point in the future which will consolidate all our electric distribution materials in one location, allowing our operations staff to load materials on their trucks more efficiently and respond to customers more effectively.

We welcome any suggestions the public may have concerning our efforts to improve the service and reliability of TTD.



Third Taxing District

Report to Electors

Annual Meeting, March 5, 2014

Marvin School, East Norwalk 06855

7:00pm

Charles L. Yost, Commission Chairman

David L Brown, Commissioner

Debra Goldstein, Commissioner

Jim Smith, General Manager

Dr. Michael Intrieri, District Treasurer

Ronald Scofield, District Clerk

Introductions & Acknowledgements

Electric Dept. Finances

District Budget

Thank you for coming this evening.

Introductions & Acknowledgements



| <u>Your Elected Officials</u> | <u>Role</u> | <u>Elected</u> | <u>Term Expires</u> | <u>Term of Office</u> |
|-------------------------------|--------------|---|---|-----------------------|
| David L. Brown | Commissioner | November 1997 November 2003 November 2009 | November 2003 November 2009 November 2015 | 6 Years |
| Charles L. Yost | Commissioner | November 2011 | November 2017 | 6 Years |
| Debora Goldstein | Commissioner | November 2013 | November 2019 | 6 Years |
| Dr. Michael Intrieri | Treasurer | November 2013 | November 2015 | 2 Years |

We would like to thank those who are helping with this meeting, including those at the door, the Electric Department's employees, and all others who have assisted.

A special thank you to the Marvin School for hosting this event for the first time.

Electric Department Balance Sheet



Introductions &
Acknowledgements

Electric Dept.
Finances

District Budget

*Thank you for coming
this evening.*

| Item | June 30, 2013 | June 30, 2012 |
|--------------------------------|---------------|---------------|
| Assets | | |
| Current Assets | \$5,396,638 | \$5,522,697 |
| Net Utility Plant | \$4,813,711 | \$5,028,093 |
| Other Assets | \$7,470,616 | \$6,869,836 |
| <u>Total Assets</u> | \$17,680,965 | \$17,420,626 |
| Liabilities and Capital | | |
| Liabilities | \$5,888,880 | \$7,351,681 |
| Retained Earnings | \$11,792,085 | \$10,068,945 |

Electric Department Income Statement



Introductions &
Acknowledgements

Electric Dept.
Finances

District Budget

*Thank you for coming
this evening.*

| Income | 2012-2013 | 2011-2012 |
|-----------------------------|--------------------|------------------|
| Total Operating Revenues | \$9,899,570 | \$10,007,337 |
| Purchased Power Cost | (\$6,726,370) | (\$6,744,453) |
| Gross Operating Income | \$3,173,200 | \$3,262,884 |
| Operating Expenses | (\$3,267,243) | (\$3,142,012) |
| Net Operating Income/(Loss) | (\$94,043) | \$120,872 |
| Other Income/(Expense) | \$2,025,113 | \$21,403 |
| <u>Net Income</u> | <u>\$1,931,070</u> | <u>\$142,275</u> |

District Budget Snapshot



Introductions &
Acknowledgements

Electric Dept.
Finances

District Budget

*Thank you for coming
this evening.*

| <u>Item</u> | <u>Approved 2012-2013</u> | <u>Actual 2012-2013</u> | <u>Approved 2013-2014</u> | <u>Projected 2013-2014</u> | <u>Recommended 2014-2015</u> |
|-------------|-------------------------------|-----------------------------|-------------------------------|--------------------------------|----------------------------------|
| Total | <u>\$275,800</u> | <u>\$281,290</u> | <u>\$309,550</u> | <u>\$285,437</u> | |
| General | \$78,679 | \$92,951 | \$95,229 | \$97,616 | |
| Cemetery | \$20,000 | \$21,218 | \$22,200 | \$20,000 | |
| Library/ENA | \$167,121 | \$167,121 | \$167,121 | \$167,121 | |

District Budget Details



Introductions &
 Acknowledgements
 Electric Dept.
 Finances
 District Budget

*Thank you for coming
 this evening.*

| Item | Approved 2012-2013 | Actual 2012-2013 | Approved 2013-2014 | Projected 2013-2014 | Proposed 2014-2015 |
|-----------------|-----------------------|---------------------|-----------------------|------------------------|-----------------------|
| Total | <u>\$275,800</u> | <u>\$281,290</u> | <u>\$309,550</u> | <u>\$285,437</u> | <u>\$316,000</u> |
| Stipends | \$15,179 | \$15,179 | \$15,179 | \$15,179 | |
| Parks | \$22,000 | \$18,798 | \$23,100 | \$23,000 | |
| Holiday Events | \$6,500 | \$6,116 | \$8,150 | \$6,500 | |
| Concerts | \$14,000 | \$13,644 | \$14,850 | \$11,244 | |
| Newsletter | \$1,800 | \$0 | \$0 | \$0 | \$0 |
| Meeting | \$4,000 | \$3,493 | \$3,950 | \$3,493 | |
| Legal | \$2,500 | \$0 | \$0 | \$0 | \$0 |
| Firehse. Maint. | \$900 | \$0 | \$0 | \$0 | \$0 |
| Firehse. Cap. | \$3,700 | \$0 | \$20,000 | \$20,000 | |
| Lib. Maint. | \$8,100 | \$11,501 | \$10,000 | \$10,200 | |
| Lib. Cap. | \$0 | \$24,220 | \$0 | \$8,000 | |
| Cem. Maint. | \$20,000 | \$21,218 | \$22,200 | \$20,000 | |
| Cem. Cap. | \$0 | \$0 | \$0 | \$0 | \$0 |
| Contingency | \$10,000 | \$0 | \$25,000 | \$700 | |
| ENA Library | \$167,121 | \$167,121 | \$167,121 | \$167,121 | \$167,121 |

EAST NORWALK IMPROVEMENT ASSOCIATION

51 Van Zant Street - East Norwalk, CT 06855
203-838-0408 / mail@eastnorwalklibrary.org

EAST NORWALK ASSOCIATION LIBRARY

PROPOSED BUDGET / July 1, 2014 – June 30, 2015

OUR "NEW" NAME / ~~EAST NORWALK ASSOCIATION~~

In June of 2013, the Board of Directors of the East Norwalk Improvement Association agreed to a "rebranding" of it's name from *East Norwalk Improvement Association* to the *East Norwalk Association*. In addition, the *East Norwalk Library* became the *East Norwalk Association Library*.

This *does not* alter the legal structure of the East Norwalk Improvement Association, it merely represents a new "name" for the way the Association does business. Toward that end, a Trade Name Certificate was filed with, and approved by, the Clerk of the City of Norwalk.

The *East Norwalk Association*, (and its *East Norwalk Association Library*) continues to operate under the not-for-profit 501 (c)(3) status as originally granted by the Internal Revenue Service to the East Norwalk Improvement Association.

This "rebranding" -- it was felt -- gives broader meaning to the Association from when it was first founded in 1900 as an "improvement" organization. The organization, today, provides a broader purpose than just "improvement" through it's activities and services to and for its community.

The new "name" the East Norwalk Association reflects its continuing commitment to our community as a unifying organization dedicated to the needs of East Norwalk residents, businesses, and organizations.

THE VALUE OF THE ~~EAST NORWALK ASSOCIATION~~ TO ITS RESIDENTS AND BUSINESSES:

The East Norwalk Association "neighborhood" represents all the cultural and ethnic backgrounds that make our City both rich and varied. Our residents are African American, Middle Eastern, European American, Hispanic/Latino, Asian, and American Indian. They are Jewish, Christian, and Muslim, life-long citizens and immigrants, blue-collar workers, retirees, and professionals. And all contribute to the vitality of this "city" within a city. Since its inception in 1900, the Association has promoted a host of advocacy, civic improvement, business stimulation, and other cooperative ventures to benefit the people within its boundaries. The East Norwalk Improvement Association was founded on such issues and community needs as housing preservation, education, beautification of both business and residential areas, mass transit, and neighborhood safety. The East Norwalk Association, today, carries on that commitment. High on the list of the East Norwalk Association's dedication and responsibility to its community is the operation and management of the *East Norwalk Association Library* -- since its beginning in 1915.

THE VALUE OF THE EAST NORWALK ASSOCIATION LIBRARY TO ITS RESIDENTS AND BUSINESSES AND BEYOND:

One can search the internet asking the question: "why are libraries important" and, via Google receive about 72 million references. Many, very many of those references may well apply to the **EAST NORWALK ASSOCIATION LIBRARY**, but for the purpose of this presentation, we've distilled the salient points to these highlighted advantages:

- ⇒ Enables access to Library services 5 days, 33 hours each week which includes;
- ⇒ Use of 12 public access computers (8 adult, 2 teen, 2 children) by an average of 350 people each month;
- ⇒ An inventory of more than 22,000 books and other print materials, 2,000 DVDs and other electronic media;
- ⇒ Utilization of inventory by an average circulation of more than 1,500 items each month;
- ⇒ Use of all Library facilities by existing and new card-holders (average 25 each month);
- ⇒ Ongoing daily and weekly programming which serves an average of 160 children each month;
- ⇒ Special children/family educational/entertaining programs serving an average of 650 children during the year;
- ⇒ Staff assistance for research and support on a variety of subjects, not the least of which is job-search data;
- ⇒ Maintenance and public access to a unique collection of historic East Norwalk documents, records, and photos;
- ⇒ Ongoing and periodic "sales" which enables minimal-cost purchases of donated books and other materials;
- ⇒ Access to Library and East Norwalk Community information via the website: www.eastnorwalklibrary.org;
- ⇒ Enabling a special joint-sponsored annual Summer Literacy Program;
- ⇒ Exposure to various East Norwalk "happenings" through "Inside East Norwalk" press releases to the media;
- ⇒ Provided the Census Bureau space for its East Norwalk Headquarters during this past census-taking effort;
- ⇒ Offers special senior services including an introduction to computer use and internet connectivity classes;
- ⇒ An "Encouragement Program" to help develop creative writing and graphic skills among children and adults;

OF PARTICULAR NOTE, WE HAVE, THIS PAST CALENDAR YEAR:

- ✓ Added a complete 248 Volume set of "Something About the Author" -- Autobiography series.
- ✓ Added the 100-plus Volume set of "March of America" Facsimile Series -- Historical stories and accounts.
- ✓ Continued our 5th year of weekly "D2R2-Dogs to Read to" nationally-recognized program for children.
- ✓ Installed and managed Brach Libraries at both The Marvin and Maplewood at Strawberry Hill facilities.
- ✓ Created our "Extension Services" Program which brings Library amenities to outside-the-Library sites.
- ✓ Enabled the Norwalk Housing Authority to conduct children's art classes in the Van Zilen Hall
- ✓ Enabled the Public School System to conduct Early Childhood play groups in the Van Zilen Hall.
- ✓ Provided the venue for Cub Scouts Pack 66 Annual Soapbox Derby competition.
- ✓ Continued to enable the Carver Foundation's "Drop-in-Literacy" Summer Program for Norwalk children.
- ✓ Continued our highly successful "Norwalk Reads" ongoing free book program and City-Wide distribution.
- ✓ Instituted our free "Book Bucks" program to reward children to "purchase" books of their choice.
- ✓ Enabled a "Meet the Chiefs" (Police and Fire) presentation and "Meet the Candidates" Forum for East Norwalk residents.
- ✓ Continued our ongoing "Sensational Summer Series" family entertainment program
- ✓ Created our "Memories" Program where residents are encouraged to record bits and pieces of their life.
- ✓ Began our "Street Name" program where residents research the history of the street where they live.
- ✓ We redesigned our street-side sign to reflect our name change.
- ✓ We organized the Third Taxing District community-wide celebration of Norwalk's Historic Fortnight Centennial.

New Services and Improved Programs

During this current "calendar" year we are planning to provide new and improved services and programming for our community: A "Free Library" at various business and public locations where free books will be available for the public to take, read, share, keep or return; return of the Kids and K9s Program which proved so very successful in 2012; exploring the offering of a "makerspace" opportunity for children enabling creative design, "tinkering," and creating; parent/caregiver-to-child reading programs; regularly scheduled after-school "story times" for children, and more to come.

The Van Zilen Community Hall

In addition to the use of the Hall by various "regular" users: Churches, Model Airplane Club, Union retirees group, and other groups and individuals who pay a use-fee, the Van Zilen Community Hall is used -- free of charge -- by the Cub Scouts, Girl Scout Daisies and Brownies, as well as various other not-for-profit organizations as the schedule allows. The Hall too, is used as a community meeting place for forums and other special-purpose informational meetings and Library events including the "Sensational Summer Series" and other educational/entertainment programs throughout each year.

a "tail" of many dogs, and children too



East Norwalk Library
D2R2/Dogs To Read To

An East Norwalk Library
Encouragement Program

HELP WANTED!

THE
HISTORY
of
EAST NORWALK
STREET
NAMES



EAST NORWALK ASSOCIATION
LIBRARY

51 Van Zant Street - East Norwalk, CT 06855
203-838-0408 / mail@eastnorwalklibrary.org
www.eastnorwalklibrary.org

A PICTURE IS WORTH A
THOUSAND WORDS

EAST NORWALK ASSOCIATION
LIBRARY

WEEKEND SUMMER SERIES

FUN FOR THE FAMILY 2013

free admission -- enjoy the shows

| DATE | TIME | ACT | DESCRIPTION |
|-----------------------------------|----------|--------------------------------------|---|
| FRIDAY AUGUST 2 10:30 AM | 10:30 AM | SING ALONG WITH HAPPY PUPPETS | Nappy's Puppets is dedicated to producing quality shadow puppet performances that entertain and educate all audiences. |
| SATURDAY AUGUST 10 10:00 AM | 10:00 AM | GREEN MEADOWS Bug Van | An exciting hands-on program that features reptiles, frogs, bugs, fluffy chickens, baby farm animals, bunnies and hedge hogs. |
| SATURDAY AUGUST 24 10:30 AM | 10:30 AM | Justin Mazz | A magician with over a decade of entertainment, visual magic and non-stop comedy to keep your sides aching. |

free admission -- enjoy the shows

51 VAN ZANT ST. EAST NORWALK, CT 06855 / 203-838-0408 / www.eastnorwalklibrary.org



WORDS and PICTURES
an East Norwalk Library
Encouragement Program



MEMORIES:

Because if it's not written down, it will be lost forever...

As part of the Encouragement Program, the East Norwalk Library is offering an opportunity for the community to preserve their "MEMORIES" in print.

This East Norwalk "MEMORIES" Guide is available to help you get started on this return journey to your life, and the lives of your family.

As you begin this backward journey, bringing the years past up to date, we will transform your words -- and pictures -- into your own personal or family memoir.

Included in this guide is an example of an already published work.

So, here's the "tail" -- it's in your court. As you, if you decide to continue we're here to help and make a whole cloth of the threads you've managed to accumulate.

For further information or support on the way, please call us at: 203-249-6295

EAST NORWALK ASSOCIATION
LIBRARY

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K9s and KIDS

REGISTER NOW -- NEW PROGRAM STARTING

This highly successful FREE program, started last year at the East Norwalk Library, brings 8-10 children together in a classroom setting for 5-one hour Saturday morning sessions.

The therapy dogs and their handlers are sorted in a seminar. An extra, extra treat is left adjacent to each room, received by the "kids and K9" program participants. They introduce themselves, the child takes the lead and learns about the dog. Then each child takes a turn standing in front of the group, introducing themselves, answering the question of the day and introducing their dog and sharing information about that dog. A special "book" (chronicling the 5-week program) is presented to each child and dog owner at the completion of each program. Every child receives a "Certificate of Accomplishment" as a testament to their completion in the program.

WEEK THREE

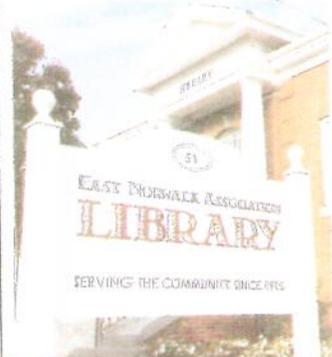
READING TOGETHER
In the hands of K9s and KIDS.

The object of this program is to build confidence, self-esteem, self-advocacy, communication skills, and learn basic dog handling. The informal atmosphere, created by the interaction with the dogs, enables most children to overcome his/her inhibitions, helping them in addressing an audience. The five-week program covers the following topics:

- PERSONALITY, SELF-ESTEEM
- RISK MANAGEMENT, LEADERSHIP, COMPLEXITY.

FOR MORE INFORMATION, INQUIRE AT THE CENTRAL READING ROOM OR CALL: 203-285-9164 ext 110 OR EMAIL: mail@eastnorwalklibrary.org

REGISTRATION IS OPEN TO ALL CHILDREN 8-10 YEARS OF AGE. FIRST COME FIRST SERVED. SPACES ARE LIMITED TO 10 CHILDREN PER SESSION.



East Norwalk association rebranding

By ROBERT WYCH
12-13-2012

COMMUNITY members of the East Norwalk Association Library have voted to rebrand the library as the East Norwalk Association Library. The new name reflects the library's commitment to the community and its role as a center for learning and growth.

The new name, East Norwalk Association Library, will be used for all library communications and signage.

KIDS! - EARN "BOOK BUCKS"

IT'S EASY, IT'S FUN, IT'S FREE
HERE'S HOW!

- 1- Get **FIVE "BOOK BUCKS"** when you apply for and get an East Norwalk Library card.
- 2- Get **ONE "BOOK BUCK"** when you borrow books to read from the East Norwalk Library.
- 3- Get **TWO "BOOK BUCKS"** for each East Norwalk Library event (D2R2-Dogs to Read To, Sensational Summer Series, Story Time, other children and family events) you attend.
- 4- Get **"BOOK BUCKS"** given out by the East Norwalk Library staff, for special occasions.

HOW TO USE YOUR "BOOK BUCKS"

East Norwalk Library "BOOK BUCKS" may be redeemed for special "BOOK BUCKS BOOKS". Use "BOOK BUCKS" as real money to "purchase" books from a special "BOOK BUCKS" collection. Books are priced according to value. "BOOK BUCKS" are not transferable for cash and have no other value except as tender for the purchase from the special "BOOK BUCKS" collection.

EAST NORWALK LIBRARY

The Commission of the Community
WALK ZILSH COMMUNITY HALL
51 Van Zant Street - East Norwalk, CT 06855



FREE DROP-IN LITERACY PROGRAM
GRADES K - 5
ALL STUDENTS ARE WELCOME

STUDENTS WILL READ BOOKS
BASED UPON THEIR READING LEVEL.
LEARNED

Mondays and Wednesdays 12 to 5 PM
Thursdays 2 to 6 PM
Fridays 1 to 6 PM

FOR MORE INFORMATION:

Call: 203-838-4305 ext 120
Email: wald@carvercenterct.org

East Norwalk Library

The Bookplate is placed in recognition of the

NORWALK GARDEN CLUB

in appreciation of their contribution to our Library Collection.

PROPOSED BUDGET / EXECUTIVE SUMMARY:

The following PROPOSED BUDGET FISCAL YEAR July 1, 2014 – June 30, 2015 indicates no increase of our grant request over the prior year.

Although we continue to improve and expand the usefulness and services of the *East Norwalk Association* and the *East Norwalk Association Library* we remain frugal in our spending and fervent in our quest for public, private and corporate support to enable us to continue to provide new and needed amenities to the benefit of our public.

It should also be noted, that although the operation of the East Norwalk Association/East Norwalk Association Library (ENA/ENAL) relies heavily on the grant from the Third Taxing District, the Board and Administration of ENA/ENAL is committed to assuring that the usefulness of the ENA/ENAL continues to grow in its recognized and necessary services to its community.

Toward that end, an ongoing concerted effort continues to (a) bring recognition to the financial “partnership” between the Third Taxing District (and its rate-paying residents and businesses) and the ENA/ENAL, and (b) increase the participation of both the public and private sector in the work and growth of ENA/ENAL for the benefit of the community it serves, (c) increase the income derived from the Van Zilen Community Hall usage both from monthly and single-event users by enabling the use of the Hall to “event paying” private opportunities.

The operation of the ENA/ENAL and its financial integrity is closely monitored and audited by accepted accounting standards and, during its latest fiscal-year audit, ENA/ENAL received high marks for the management of its business and responsibilities.

The ENA is enjoying its 114th year anniversary -- originally founded in 1900 and then establishing the East Norwalk Library as a major accomplishment in 1915, with the present-day Library building being opened in 1917.

In addition to managing the East Norwalk Association Library, ENA/ENAL provides many other services and advantages to the East Norwalk Community in the form of enabling community meetings for non-profit organizations (Norwalk Public School System, Norwalk Housing Authority, Cub Scouts, Girl Scout Daisies, Girl Scout Brownies, Carver Center Tutorial Programs, etc.), and to other groups (Country Squires Model Airplane Club, Sheet Metal Worker Retirees Club, various Churches, the City of Norwalk, etc.).

ENA/ENAL too participates in the annual city-wide Memorial Day Celebration and Parade and arranges and enables the use of the Van Zilen Community Hall for community-interest forms and other helpful and informative programs including entertainment for children and families during the summer months.

This year (2014) we are implementing a “Free Library” program at various business and other public locations where people will be able to “take a book, bring a book” from a variety of fiction and non-fiction that will be stocked and serviced by the East Norwalk Association Library.

In all, both the East Norwalk Association and the East Norwalk Association Library continues to serve the traditional needs of its community while providing new and exciting programs and features.

EAST NORWALK IMPROVEMENT ASSOCIATION / EAST NORWALK LIBRARY

PROPOSED BUDGET SUMMARY / FISCAL YEAR July 1, 2011 – June 30, 2012

| A Operating Income | | 2013/14 Approved | 2013/14 1st 6 months* | 2014/15 PROPOSED |
|--|---------------------------------|-----------------------------|--|--------------------------------|
| 1 | Third Taxing District | \$ 167,121 | \$ 83,560 | \$ 167,121 |
| 2 | Van Zilen Hall Rental | \$ 20,600 | \$ 13,895 | \$ 23,000 |
| 3 | Contributions / Dues ** | \$ 3,300 | \$ 361 | \$ 1,550 |
| 4 | Sales / fines / fees | \$ 3,765 | \$ 902 | \$ 2,000 |
| 5 | Interest | \$ 10 | \$ 5 | \$ 5 |
| 6 | Operating Income Total | \$ 194,796 | \$ 98,723 | \$ 193,676 |
| ** Does not include \$3,000+ in-kind services | | | | |
| B Operating Expenses | | 2013/14 Approved | 2013/14 1st 6 months * | 2014/15 PROPOSED ** |
| 7 | Salaries and wages | \$ 80,026 | \$ 42,297 | \$ 92,746 |
| 8 | Employee benefits/taxes | \$ 26,739 | \$ 13,928 | \$ 26,610 |
| 9 | Accounting / Legal / Services | \$ 14,345 | \$ 9,805 | \$ 10,275 |
| 10 | Library Materials/Programs | \$ 26,050 | \$ 12,008 | \$ 37,250 |
| 11 | Utilities | \$ 17,300 | \$ 7,267 | \$ 10,200 |
| 12 | Supplies/custodial | \$ 9,471 | \$ 7,490 | \$ 9,300 |
| 13 | Maintenance/Security | \$ 6,064 | \$ 5,978 | \$ 7,295 |
| 14 | Operating Expenses Total | \$ 186,995 | \$ 98,773 | \$ 193,676 |
| NOTES: * Estimated through 12/31/13 / Differences from 2013/14 reflect category adjustments. | | | | |

OPERATING INCOME DETAIL

| A | Operating Income | 2013/14 Approved | 2013/14 1 st 6 months* | 2014/15 PROPOSED |
|---|---|---------------------|--------------------------------------|---------------------|
| 1 | Third Taxing District | \$ 167,121 | \$ 83,560 | \$ 167,121 |
| 2 | Van Zilen Hall Rental | \$ 20,000 | \$ 13,895 | \$ 23,000 |
| 3 | Contributions / Dues ** | \$ 5,000 | \$ 361 | \$ 1,550 |
| 4 | Sales / fines / fees | \$ 2,400 | \$ 902 | \$2,000 |
| 5 | Interest | \$ 15 | \$ 5 | \$5 |
| 6 | Operating Income Total | \$194,796 | \$ 98,723 | \$ 193,676 |
| * | Estimated through 12/31/13 ** Does NOT include in-kind services provided. | | | |

- 1 **THIRD TAXING DISTRICT GRANT REQUEST:** The requested represents the anticipated “cost of doing business” based upon the estimated costs for necessary operating expenses compared to the last fiscal year.

As a “benefit” for the continuing Third Taxing District support of the East Norwalk Association/East Norwalk Association Library (ENA/ENAL), we will ensure that due credit is given to the Third Taxing District electric ratepayers (residents and businesses alike) as well the Third Taxing District Commission as well.

This credit and recognition will be provided in various press releases, program and activity announcements and other public opportunities as practical and useful.
- 2 **VAN ZILEN HALL RENTAL:** The use of the Van Zilen Hall enables income from various “paying” organizations who hold meetings and other services on a regular contracted basis as well as from individuals and organizations holding private events (baby showers, birthday parties, family gatherings, etc.). Aside from the “contracted use, it is difficult to predict the additional income to be realized during any given year. The income sum noted above is based upon the use of the Hall during the past two years.
- 3 **CONTRIBUTIONS / DUES:** A concerted and aggressive effort to secure public and private support for the ENA/ENAL services and benefits to the East Norwalk community (and beyond) is being put in place. It should be noted that although public and private financial support during the past two years has not been as encouraging as now planned, ENA/ENAL was the recipient of several thousands of dollars contributed as in-kind services (such as furniture, printing and production services and materials, volunteer support, etc.). It is expected that such in-kind services will continue and be enhanced by aggressive fundraising efforts being planned.
- 4 **SALES / FINES / FEES:** As a service to its Library users, certain items are offered for sale (ear buds, note pads, greeting cards, etc.) . The other income in this category includes late charges for overdue materials and other fees such as replacements cards.
- 5 **INTEREST:** Income derived from bank account balances.
- 6 **OPERATING INCOME TOTAL:** The total grant request is calculated to enable the ENA/ENAL to continue its growth in services and usefulness to its various user categories (children, seniors, students, researchers, job seekers, neighborhood groups and organizations, etc.). During the past year ENA/ENAL has demonstrated its value to the community by many accolades and press mentions received for its activities.

EAST NORWALK IMPROVEMENT ASSOCIATION / EAST NORWALK LIBRARY

PROPOSED BUDGET SUMMARY / FISCAL YEAR July 1, 2014 -- June 30, 2015

OPERATING EXPENSES DETAIL

| B | Operating Expenses | 2013/14 Approved | 2013/14 1 st 6 months * | 2014/15 PROPOSED |
|------------------------------|---------------------------------|---------------------|---------------------------------------|---------------------|
| 7 | Salaries and wages | \$ 80,026 | \$ 44,378 | \$ 92,746 |
| 8 | Employee benefits/taxes | \$ 26,739 | \$ 13,927 | \$ 26,610 |
| 9 | Accounting / Bookkeeping | \$ 14,345 | \$ 7,207 | \$ 10,275 |
| 10 | Library Materials/Programs | \$ 26,050 | \$ 18,401 | \$ 37,250 |
| 11 | Utilities | \$ 17,300 | \$ 6,188 | \$ 10,200 |
| 12 | Supplies/custodial | \$ 9,471 | \$ 2,668 | \$ 9,300 |
| 13 | Maintenance/Security | \$ 6,064 | \$ 3,430 | \$ 7,295 |
| 14 | Operating Expenses Total | \$ 186,995 | \$95,571 | \$ 193,676 |
| * Estimated through 12/31/13 | | | | |

OPERATING EXPENSE LINE ITEM DETAIL AND NOTES

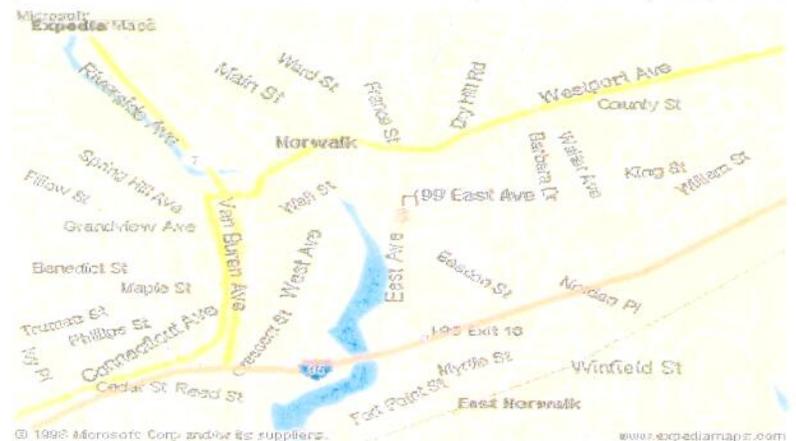
| | |
|----|---|
| 7 | SALARIES AND WAGES: The East Norwalk Improvement Association/East Norwalk Library (ENIA/ENL) paid staff includes a Librarian (Maurleen Tovish), a Library Assistant (Dan Cisek) and Executive Director (Stan Siegel). Ms. Tovish enjoyed her 10-year anniversary at the Library in 2010, Mr. Cisek joined the staff (replacing the former Library Assistant in August, 2009). Mr. Siegel joined as Executive Director upon Terry Rooney's retirement in 2008. There was no increase in staff wages in Fiscal years 2012-2013. A modest increase of 5% of base salary for both Ms. Tovish and Mr. Cisek is included in the Salary and Wages line item Mr. Siegel's salary will remain unchanged. |
| 8 | EMPLOYEE BENEFITS / TAXES: Employee health benefits are limited to Ms. Tovish. Tax costs, are, of course a factor of the wage structure. |
| 9 | ACCOUNTING / BOOKKEEPING: These charges are costs incurred, by contract, with our Accountant/Auditor, and hourly by our part-time bookkeeper. |
| 10 | LIBRARY MATERIALS AND PROGRAMS: This category includes, as Library Materials, cost of books, audio books, magazines, newspapers, other periodicals, DVDs, CDs, etc. The "programs" expense includes the "Sensational Summer Series" for children and families during the first seven weeks of school recess as well as other informational, educational, community enlightenment, events scheduled and arranged as needed throughout the year. |
| 11 | UTILITIES Costs of electricity, heating fuel, water, telephone, internet connectivity. |
| 12 | SUPPLIES / CUSTODIAL: This expense category includes the supplies (over and above Library Materials) for use by Library and Van Zilen Hall operations includes stationery, technology hardware, software, and related items. The custodial expense includes the contracted cleaning and maintenance of the interior of both the Library and the Van Zilen Hall and necessary cleaning and lavatory supplies. |
| 13 | MAINTENANCE AND SECURITY: As needed part-time hourly support is hired to assist with certain clerical, and physical chores necessary to augment the work of the Library staff. The security costs include 24-hour off-premises services and monitoring of intrusion alarms. |
| * | It should be noted that as of the time of this presentation we have installed a new accounting program as well as an expanded "chart of accounts" which will enable a more timely and concise "picture" of our income and expenses on a monthly and interim basis as needed. |

INSIDE EAST NORWALK



EAST NORWALK ASSOCIATION
 The Cornerstone of the Community — Since 1900
 51 Van Zant Street - East Norwalk, CT 06855
 Phone: 203-838-0408 / Fax: 203-855-8382
 Email: mail@eastnorwalklibrary.org
 www.eastnorwalklibrary.org

EAST NORWALK ASSOCIATION LIBRARY



1105, Revert ASOT 2/27/14

| A | | L | M | N | O | P |
|--|--|--|---------------------------------|--|---|-----------------------------------|
| 2014-2015 Third Taxing District Budget | | | | | | |
| | | Column L 2012-2013 Approved 3/7/12 | Column M 2012-2013 Actual | Column N 2013-2014 Approved 3/6/13 | Column O 2013-2014 Projected Actual | Column P 2014-2015 Proposed |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | Sources of District Funds | | | | | |
| 7 | Fund Balance - Carryover from prior years | \$49,770 | \$41,058 | \$2,323 | \$5,713 | \$57,648 |
| 8 | Rental Income from Firehouse Building | \$38,000 | \$38,000 | \$38,000 | \$38,000 | \$38,000 |
| 9 | Interest Income | \$100 | \$15 | \$30 | \$30 | \$30 |
| 10 | Transfer from Electric Department (from available funds) | \$207,930 | \$207,930 | \$299,342 | \$299,342 | \$299,342 |
| 11 | Total of Funds Available to District | \$295,800 | \$287,003 | \$339,695 | \$343,085 | \$395,020 |
| 12 | Uses of District Funds | | | | | |
| 13 | Cash Expenditures & Appropriations for District Operating & Capital Needs | | | | | |
| 14 | Stipends (3 Commissioners @ \$3500 ea.) | \$11,303 | \$10,500 | \$10,500 | \$10,500 | \$10,500 |
| 15 | Stipend (Treasurer) | \$1,938 | \$1,800 | \$1,800 | \$1,800 | \$1,800 |
| 16 | Stipend (District Clerk) | \$1,938 | \$1,800 | \$1,800 | \$1,800 | \$1,800 |
| 17 | Payroll Tax Expense | | \$1,079 | \$1,079 | \$1,079 | \$1,079 |
| 18 | Parks Groundskeeping & Maintenance | \$22,000 | \$18,798 | \$23,100 | \$23,000 | \$26,000 |
| 19 | Holiday Events (Christmas Tree & Menorah Lighting) | \$6,500 | \$6,116 | \$8,150 | \$6,500 | \$7,000 |
| 20 | Summer Concert Series | \$14,000 | \$13,644 | \$14,850 | \$11,244 | \$25,200 |
| 21 | District Newsletter Allowance | \$1,800 | \$0 | \$0 | \$0 | \$0 |
| 22 | Annual Electors Meeting | \$4,000 | \$3,493 | \$3,950 | \$3,493 | \$4,500 |
| 23 | Legal and Accounting Services for the District | \$2,500 | \$0 | \$0 | \$0 | \$0 |
| 24 | Firehouse Building Expense/Maintenance | \$900 | \$0 | \$0 | \$0 | \$0 |
| 25 | Firehouse Building Major/Capital | \$3,700 | \$0 | \$20,000 | \$20,000 | \$10,000 |
| 26 | Library Building Expenses/Maintenance | \$8,100 | \$11,501 | \$10,000 | \$10,200 | \$10,200 |
| 27 | Library Building Major/Capital | \$0 | \$24,220 | \$0 | \$8,000 | \$5,000 |
| 28 | East Norwalk Historical Cemetery Expense/Maintenance | \$20,000 | \$21,218 | \$22,200 | \$20,000 | \$22,000 |
| 29 | East Norwalk Historical Cemetery Major/Capital | \$0 | \$0 | \$0 | \$0 | \$0 |
| 30 | Contingencies | \$10,000 | \$0 | \$25,000 | \$700 | \$25,000 |
| 31 | Sub-Total for District General Items | \$108,679 | \$114,169 | \$142,429 | \$118,316 | \$150,079 |
| 32 | | | | | | |
| 33 | Appropriations on Behalf of Other Organizations | | | | | |
| 34 | East Norwalk Improvement Association (for library operations) | \$167,121 | \$167,121 | \$167,121 | \$167,121 | \$167,121 |
| 35 | Total District Expenditures and Appropriations for Future Work | \$275,800 | \$281,290 | \$309,550 | \$285,437 | \$317,200 |
| 36 | Fund Balance at Year End | \$20,000 | \$5,713 | \$30,145 | \$57,648 | \$77,820 |



Memorandum Third Taxing District Electric Department

To: TTD Commissioners

From: Jim Smith – General Manager

Date: February 20, 2014

Subject: Electric Vehicle Charging Station



Attached please find background material, including a grant award letter from the Ct. Department of Energy and Environmental Protection, related to the construction of an Electric Vehicle charging station in East Norwalk.

Although there has been informal discussion between Commission members and myself over the last several months on this topic, we have not raised the issue @ a Commission meeting and discussed this concept in any depth.

Last fall, I had submitted an application to the state to apply for grant funding for a potential station and received a \$4000.00 grant, assuming all conditions listed in the “reimbursement checklist” are met.

Before we move any further on this project, I would like to get a consensus from the Commission on whether they wish to move forward with the concept or not. We can then have a better idea of how to allocate time/resources, etc.

Please be prepared to share your thoughts, ideas, etc. @ the meeting on March 3rd.

James W. Smith

From: Kelly, Patrice <Patrice.Kelly@ct.gov>
Sent: Wednesday, January 15, 2014 10:39 AM
To: James W. Smith
Cc: 'cyost5@gmail.com'
Subject: EV Charging Grant for Third Taxing District
Attachments: Third Taxing District Smith.pdf; Reimbursement Checklist 1-13-14.doc; EV Charging Sign Posting Guidance 1-7-14.pdf

Importance: Low

Good Morning, Mr. Smith:

Congratulations! Please see attached letter for information regarding an EV charging station grant for the Third Taxing District in Norwalk.

Patrice Kelly

Patrice P. Kelly
Sr. Environmental Analyst
Toxics & Mobile Sources Group
Planning & Standards Division
Bureau of Air Management
Connecticut Department of Energy and Environmental Protection
79 Elm Street, Hartford, CT 06106-5127
P: 860-424-3410 | E: patrice.kelly@ct.gov



www.ct.gov/deep

*Conserving, improving and protecting our natural resources and environment;
Ensuring a clean, affordable, reliable, and sustainable energy supply.*

January 15, 2014

Mr. Jim Smith, General Manager
Third Taxing District
Municipal Utility
2 Second Street
East Norwalk, CT 06855

Re: Electric Vehicle Charging Station Reimbursement Program, Round 2

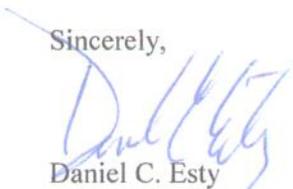
Dear Mr. Smith:

On behalf of Governor Malloy and the Department of Energy and Environmental Protection, it gives me great pleasure to inform you that the Third Taxing District was selected to receive a \$4,000.00 grant under Connecticut's landmark Electric Vehicle Charging Station Reimbursement Program.

I applaud your foresight in recognizing the exciting new opportunities presented by the widespread introduction of electric vehicles (EVs) into Connecticut's motor vehicle fleet. Your efforts are helping us meet our state's energy and environmental goals while spurring economic development. Increasing the number of EVs will put us on a path towards energy independence, ushering in a time when motorists need not spend a single dollar on gasoline and instead refuel with locally generated electricity from domestically produced fuels. Your charging stations bring us one step closer towards our goal of ensuring that everyone interested in owning an EV knows that they will always have access to EV charging stations when needed. With your help, we are replacing "range anxiety" with range confidence.

Again, I thank you for helping us send the message that EVs are a key part of Connecticut's cheaper, cleaner, more reliable energy future. Please see the attached information detailing the steps necessary to ensure timely reimbursement of your equipment purchases and installation expenses. The Department cannot guarantee payment unless the charging station is operational by May 30, 2014.

Sincerely,


Daniel C. Esty
Commissioner

Department of Energy and Environmental
Protection

Attachments (2)

**Publicly-Accessible EV Charging Infrastructure Funding Program:
Reimbursement Checklist**

Prior to reimbursement, awarded applicants must demonstrate they have met all requirements of the award. These requirements include full operation of the publicly-accessible EV charging station; installation of approved signage; and other requirements specified below. The Department of Energy and Environmental Protection reserves the right to inspect the facility prior to reimbursement.

This completed checklist with signature and all invoices should be submitted to the attention of Patrice Kelly at the Connecticut Department of Energy and Environmental Protection via e-mail at Patrice.Kelly@ct.gov, or U.S. post at 79 Elm Street, Hartford, CT 06106-5127.

| Reimbursement Checklist | | Completed | |
|---|--|--------------------------|--------------------------|
| | | Yes | N/A |
| Grant Recipients will: | | | |
| Purchase and install the EV charging equipment specified in the proposal. | | <input type="checkbox"/> | |
| Install approved signage attached Signage and Posting Guidance document. | | <input type="checkbox"/> | |
| Commit to maintain and operate the EV charging equipment as publically-accessible units. | | <input type="checkbox"/> | |
| Have a publicly-accessible EV charging station operational by March 1, 2014, or as soon after as possible, but no later than May 30, 2014. | | <input type="checkbox"/> | |
| Commit to provide no cost charging for 3 years. | | <input type="checkbox"/> | |
| Meet commitments made in the application to provide | | | |
| • Lighting, | | <input type="checkbox"/> | |
| • Shelter from inclement weather for drivers to wait while their EV is charging (if checked on proposal form), and | | <input type="checkbox"/> | <input type="checkbox"/> |
| • Operation 24 hours/day, 7 days/week, or limited hours as specified in proposal. | | <input type="checkbox"/> | |
| Agree not to operate the charging stations as a profit-making venture after the first three years. Any fees collected should only be sufficient to cover operating expenses, including payment systems. | | <input type="checkbox"/> | |
| Provide a business plan for operation after the first 3 years. If payments are to be collected for using the unit, the plan must include an open access payment system. | | <input type="checkbox"/> | |
| Agree to post location and availability information of EV charging station on U.S. Department of Energy Website | | <input type="checkbox"/> | |
| Provide a photograph of the completed installation. | | <input type="checkbox"/> | |
| Provide documentation of the actual budget with invoices for the equipment and installation. | | <input type="checkbox"/> | |

Actual Budget:

Please provide a list of the expenses for the EV charging station and installation. You may add line items as needed. Identify each line item being proposed, i.e. "BrandZ, Model #2" pedestal EV charging unit", "Installation of EV charging unit" (if not included in cost of unit).

| <u>Line Item:</u> | <u>Cost</u> |
|----------------------------|-------------|
| | |
| | |
| | |
| Total Project Cost: | |

This signature confirms that the tasks listed above have been completed and that the charging station is operational, publicly-accessible and has required signs posted.

Signature: _____
 Typed Name:

Date:

30

Publicly-Accessible EV Charging Infrastructure Funding Program: Signage and Posting Guidance

| Signage Type | Description | Example | Posting Guidelines |
|---------------------------------|--|--|--|
| Guide Posting Sign | This guide posting sign informs drivers that EV charging is available at the site. Specifications are available. |  | Required: One of these signs will be provided by DEEP for posting at the entrance to the property at each location. If these are posted on town or state rights-of-way, permission is required and certain standards apply. Contact your Local Traffic Authority for guidance.* |
| Program Information Sign | This sign, which is available in 24 and 36-inch lengths, identifies the publicly-accessible charging unit and charging service as part of the EVConnecticut Program. |  | Required: One of these signs will be provided by DEEP for posting at the EV charging unit(s) at each location. |
| Reserved Parking Sign | This sign (in two parts) may be used if you wish to reserve parking spaces for users of the EV charging stations. Specifications are available. |  | Optional: These signs may be posted at parking places reserved for EV charging. Reserved parking message may be painted on the pavement, with or without signs. |

*When posting this sign, submit the following to EVConnecticut@ct.gov to meet tracking requirements:

- EV Charging sign location (route/street name, direction serving, business/organization name); and
- Contact information (name, address, phone number and e-mail) for the owner of the property on which the sign is located or a designated representative.

Electrical Vehicle Supply Equipment (EVSE)

Residential, Commercial and Fleet



Reliable, Responsible Electric Vehicle Chargers



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100 YEARS
Ideals that Endure



DC Quick Charger

The solution for quick-turnaround electric vehicle charging



Eaton's DC Quick Charger provides a solution for promoting range confidence and joins Eaton's family of the electric transportation industry's premier EVSE.

Overview

Plug-in electric vehicles offer improved fuel economy, lower emissions and strong acceleration with a quiet operation—all from a domestic energy source. Therefore, it is no surprise that automakers are bringing plug-in electric vehicles to the mass market. In fact, industry forecasts predict an annual volume of 400,000 battery electric vehicles in North America by 2020. These electric vehicles will require a substantial residential, commercial and public infrastructure.

The DC Quick Charger (DCQC) supplies a connection to the grid for drivers on-the-go with a fast direct charge of electricity needed to refill the battery. Installation of the DCQC will not only supply power to the electric vehicle; it will also provide range confidence for the driver.

Fast charging solutions

Today, internal combustion engine car drivers demand diversity in their refueling options. The requirements for electric vehicles (EVs) will be no different. To speed up the adoption of EVs, an infrastructure must be built with charging options at destination locations as well as at points along the way. In either case, Eaton has an electric vehicle charger targeted to meet this need.

Eaton's DCQC is the ultimate in EV rapid charging. Housed in a floor-mounted NEMA® 3R enclosure, the DCQC communicates with the EV's battery management system to provide direct current flow to charge the battery. This allows the ability to charge an EV battery to 80% capacity in as few as 30 minutes (depending on vehicle battery size).

The 50 kW charger housing consists of five individual 10 kW power drawers, giving the flexibility to offer a 20 kW, 30 kW, 40 kW or 50 kW configuration. In addition, at each output level, the DCQC has N-1 capability, which allows the charger to remain operational at a reduced output with the failure of one power drawer.

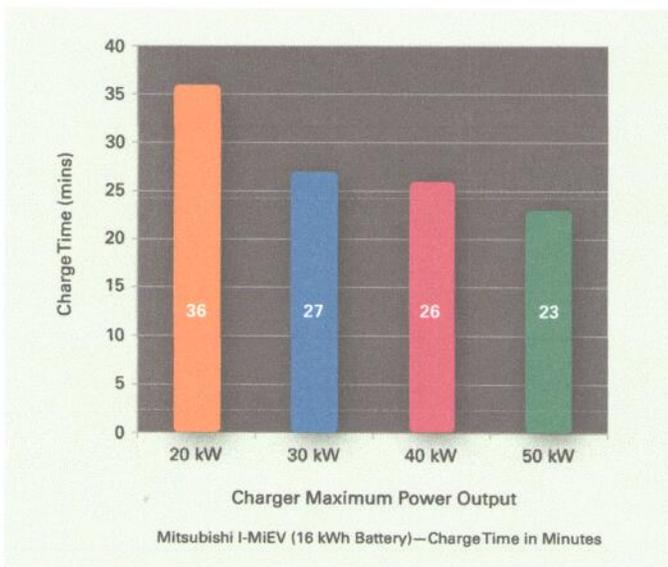
EATON

Powering Business Worldwide



How quick is "Quick?"

The chart below shows the average charge times for an electric vehicle to refuel. Charging times for DC fast charging are determined differently because the charging is off-board the vehicle but is still controlled by the battery management system. The Eaton DCQC can charge the vehicle's battery to 80% in about 30 minutes to just over an hour, depending on the charger's output rate.



Charge times shown are approximations; individual charge times vary depending upon the vehicle.

Accessibility

The Quick Charger can be equipped with a CHAdeMO-compliant communications protocol and power connector or the SAE™ J1772 AC/DC Combined Charging Standard or a combination of both. ●

The Eaton DCQC is compliant with all applicable UL® and CSA® standards for DC vehicle chargers.

The Eaton charger offers optional ChargePoint Network or credit card swipe for payment or access control for charging sessions.

● Please consult the manufacturer for availability.

Customized management

Eaton's DC Quick Charger can be integrated into a building's existing energy management system via standard Modbus® RTU, which enables the station owner to receive usage statistics and manage deployment of charging stations remotely. The standard DC Quick Charger also includes a static IP address to obtain usage statistics over a hardwired ethernet connection. Refer to Communications Guide PA191003EN for more details.

Revenue collection

Eaton uses the ePort™ credit card swipe reader manufactured by USA Technologies. Sales and transaction reporting is managed through their USALive website. The credit card swipe reader accepts all major credit cards and is Payment Card Industry (PCI) compliant.

A built-in CDMA cellular connection with integrated antenna handles all communications with the host server. The credit card reader option can be ordered as Time-Based, where the driver pays for their access in 5-minute increments, or as Session-Based, where the driver pays a one-time fee for the charge session.

Installation

Installation of the DCQC can be performed by a suitably qualified person or organization. The charger is bottom-connected with front, rear and side access points. It is equipped with separate integral service disconnects for the control and main power functions.

An electrician can also verify whether the size of the branch circuit is correct per NFPA® 70 and NEC® 625.14 standards.

Eaton manufactures all products that may be needed to support an electrical system upgrade if it is required for installation.

Eaton's Electrical Services & Systems (EESS) team of electrical engineers is available nationwide and can be augmented by Eaton's network of national, local and specialty commercial electrical contractors and system integrators.

For more information, visit www.eaton.com/plugin, call 1-855-ETN-EVSE (1-855-386-3873), or call your local Eaton sales office.

Eaton
1000 Eaton Boulevard
Cleveland, OH 44122
United States
Eaton.com

Electrical Sector
Canadian Operations
5050 Mainway
Burlington, ON L7L 5Z1
Canada
EatonCanada.ca

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Printed in USA
Publication No. PA0EV00002E / Z14605
December 2013

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All other trademarks are property of their respective owners.

For more information about Eaton's electric vehicle solutions, scan this QR Code.





**Northeast
Utilities System**

Northeast Utilities
107 Selden Street, Berlin, CT 06037
(860) 665-5513 (direct)
(860) 665-5504 (fax)
E-mail: Stephen.gibelli@nu.com

Stephen Gibelli, Esq.
Assistant General Counsel
Legal Department

February 14, 2014

Mr. Nicholas E. Neeley
Executive Secretary
Public Utilities Regulatory Authority
Ten Franklin Square
New Britain, CT06051

Re: Docket No. 13-12-11 – CL&P Request for Approval of Electric Vehicle Rate Rider Pilot –
Request for a New Hearing Date

Dear Mr. Neeley:

On January 27, 2014, the Department of Energy and Environmental Protection (“DEEP”), Bureau of Energy and Technology (“BETP”) requested that the Connecticut Light and Power Company (“CL&P”) provide responses to interrogatories identified as BETP-1 through BETP-32 in this docket. Since that time, CL&P has had ongoing discussions with DEEP about whether some of those questions should be addressed instead in Docket No. 13-08-39 – PURA Investigation of the Appropriateness of Electric Vehicle Time of Day Rates since many of DEEP’s questions are broader in scope than the narrow focus of this current docket, which is to only address CL&P’s proposed rate pilot.

The BETP and CL&P are in the process of finalizing which questions will be filed in this docket and CL&P expects to file those with PURA not later than February 21, 2014. Since February 19, 2014 is currently scheduled as a hearing date, CL&P requests that the Public Utilities Regulatory Authority (“PURA”) reschedule the hearing date.

Respectfully submitted,

Stephen Gibelli

Stephen Gibelli
Assistant General Counsel
Northeast Utilities
107 Selden Street
Berlin, CT 06037
Tel. 860-665-5513



**Northeast
Utilities**

107 Selden Street, Berlin, CT 06037

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Hartford, CT 06141-0270
(860) 665-5000
www.nu.com

February 14, 2014

Mr. Nicholas E. Neeley
Acting Executive Secretary
Public Utilities Regulatory Authority
10 Franklin Square
New Britain, CT 06051

Re: Docket No. 13-12-11 - Request of CL&P for Approval of Electric Vehicle Rate Rider Pilot

Dear Mr. Neeley:

This letter provides the response to requests for the information listed below.

Response to PURA-01 Interrogatories dated 01/29/2014
RA-001, 002, 003, 004

Very truly yours,

Christopher R. Bernard
Manager
Regulatory Policy and Strategy, CT
NUSCO
As Agent for CL&P

cc: Service List

The Connecticut Light and Power Company
Docket No. 13-12-11

Data Request PURA-01
Dated: 01/29/2014
Q-RA-001
Page 1 of 1

Witness: Edward A. Davis
Request from: Public Utilities Regulatory Authority

Question:

(PILOT) Collins Davis Direct Testimony, p. 1. The proposed rate is described as a "pilot". How long does the Company foresee the pilot being in place to generate the information that is needed to design rates that will cost-effectively facilitate the long-term development of Connecticut's electric vehicle market? Explain.

Response:

The Company proposed a rate pilot to support a more rapid deployment of electric vehicle (EV) DC fast-charging stations, and to facilitate development and acquisition of data that the Company believes will be important for the potential development of a future rate for such stations. The proposed pilot rate is not intended to remain as a permanent rate solution.

In developing its pilot rate the Company recognized the requirement by mid-year 2014 of the Authority to consider and determine whether it is appropriate to implement electric vehicle (EV) time-of-day rates, pursuant to Public Act 13-298, Section 64. The Company also recognized the need to determine what an appropriate rate might be for serving the load of DC fast-charging stations within its service area, and the lack of cost-of-service, station usage and consumer usage data for developing a rate for such stations.

The Company submitted its proposed rate pilot with the intent of making available a rate for new EV public DC fast-charging stations as they first begin active service (which is occurring now). This proposal would allow the Company to begin gathering data for stations that come on line within the Authority's required review period and for the remainder of the pilot thereafter. While it is expected that there will only be a small number of EV DC fast-charging stations placed in service at various locations within Connecticut during 2014, the Company foresees being able to gather 12 months of data once these stations are in service and utilized for charging by consumers. Therefore, at this time the Company proposes that the rate pilot remain in effect for two years from the date of PURA's approval.

Witness: Edward A. Davis
Request from: Public Utilities Regulatory Authority

Question:

(PILOT) Explain how the proposed Electric Vehicle Rate Rider Pilot (EVRRP) will assist in determining the following issues.

- a. The appropriateness of implementing electric vehicle time-of-day rates.
- b. Whether the implementation of electric vehicle time-of-day rates would encourage energy conservation.
- c. Whether the implementation of electric vehicle time-of-day rates would encourage optimal and efficient use of facilities and resources by an electric public service company.
- d. Whether the implementation of electric vehicle time-of-day rates would encourage equitable rates for electric consumers.
- e. Designing electric vehicle time-of-day rates.

Response:

The EVRRP is not intended to directly address these issues. However, the information obtained by conducting the EVRRP may be used to inform many of the questions identified above as part of the separate proceeding in Docket Number 03-08-39, "PURA Investigation of the Appropriateness of Electric Vehicle Time of Day Rates". It is important to recognize that the EVRRP is focused on electric vehicle (EV) DC fast-charging stations (i.e., this pilot is not intended to explore other EV charging situations). Accordingly, any assistance of the EVRRP in the determination of the appropriateness of implementing EV time-of-day (TOD) rates may be limited to rates for such stations.

While it is unclear how the data gathered from the EVRRP may influence the questions posed, it is important to determine the types of data that would be useful in addressing these questions at the outset of the EVRRP. For part a., it seems most appropriate to obtain data that provides insights into the relationships between the cost-of-service, station usage and corresponding charging patterns. With a focus on TOD rates, it would be helpful to obtain time-based demand and usage data to evaluate whether there are any characteristics of cost and usage that are conducive to TOD rates for any of the components of electric service provided to such stations. For part b. the Company would need to explore with the Authority what specifically is being addressed and additional data that might need to be gathered during the EVRRP. For part c., details about the magnitude and duration of EV charging during both peak demand and other periods may be important. For part d., it would be important to determine what data is needed to evaluate the impact that a particular rate design for an EV DC fast-charging station may have on the shifting of cost responsibility to other customers (e.g., what information from parts a. through c., or otherwise, may be required for such an evaluation?). For part e., the application of rate design principles and other considerations would be applied when developing a particular rate design proposal. The information from parts a. through d. would be reflected in the development of a particular rate design proposal, and in an evaluation of the appropriateness of a TOD rate for EVs.

The Connecticut Light and Power Company
Docket No. 13-12-11

Data Request PURA-01
Dated: 01/29/2014
Q-RA-003
Page 1 of 1

Witness: Edward A. Davis
Request from: Public Utilities Regulatory Authority

Question:

(RATE COMPARISON) Provide billing comparisons between the affected current rate classes and the proposed rate at various usages. Compare both the total bill amounts and cents/kWh and provide the rate components by line item.

Response:

Upon review of electric vehicle (EV) DC fast-charging stations that are currently or soon to be in service and that would be eligible to participate in the proposed EV rate pilot, the Company anticipates that such stations would receive electric service under CL&P Rate 30. Exhibit 3 of the Company's pre-filed testimony in this docket provides details of the proposed rate design for an EV DC fast-charging station that would be applied under the proposed pilot rider for a Rate 30 customer. The attachment to this response provides the requested bill comparison.

The Connecticut Light and Power Company
 Bill Comparison
 Current versus Proposed EV Rates

All Calculations Based on Rates in effect January 1, 2014

| SMALL GENERAL ELECTRIC SERVICE - RATE 30 | | | | | | | | |
|--|--------|--------------------|--------------------|------------|--------------------|-----------------|---------|--|
| USAGE | | TOTAL MONTHLY BILL | | | | BILL DIFFERENCE | | |
| DEMAND | ENERGY | CURRENT | | PROPOSED | | AMOUNT | PERCENT | |
| (kW) | (kWh) | ($\$$) | (avg. ϕ /kWh) | ($\$$) | (avg. ϕ /kWh) | ($\$$) | (%) | |
| 2 | 200 | 63.73 | 31.9 | \$71.22 | 35.6 | 7.49 | 11.75 | |
| 2 | 400 | 88.96 | 22.2 | \$103.93 | 26.0 | 14.97 | 16.83 | |
| 2 | 600 | 114.20 | 19.0 | \$136.65 | 22.8 | 22.46 | 19.66 | |
| 2 | 800 | 139.43 | 17.4 | \$169.37 | 21.2 | 29.94 | 21.47 | |
| 2 | 1,000 | 164.66 | 16.5 | \$202.09 | 20.2 | 37.43 | 22.73 | |
| 5 | 500 | 139.11 | 27.8 | \$120.29 | 24.1 | (18.82) | (13.53) | |
| 5 | 1,000 | 202.19 | 20.2 | \$202.09 | 20.2 | (0.10) | (0.05) | |
| 5 | 1,500 | 265.27 | 17.7 | \$283.88 | 18.9 | 18.61 | 7.02 | |
| 5 | 2,000 | 328.35 | 16.4 | \$365.67 | 18.3 | 37.32 | 11.37 | |
| 5 | 2,500 | 391.43 | 15.7 | \$447.47 | 17.9 | 56.04 | 14.32 | |
| 10 | 1,000 | 264.74 | 26.5 | \$202.09 | 20.2 | (62.65) | (23.67) | |
| 10 | 2,000 | 390.90 | 19.5 | \$365.67 | 18.3 | (25.23) | (6.45) | |
| 10 | 3,000 | 517.06 | 17.2 | \$529.26 | 17.6 | 12.20 | 2.36 | |
| 10 | 4,000 | 643.22 | 16.1 | \$692.85 | 17.3 | 49.63 | 7.72 | |
| 10 | 5,000 | 769.38 | 15.4 | \$856.44 | 17.1 | 87.06 | 11.32 | |
| 25 | 2,500 | 641.63 | 25.7 | \$447.47 | 17.9 | (194.16) | (30.26) | |
| 25 | 5,000 | 957.03 | 19.1 | \$856.44 | 17.1 | (100.59) | (10.51) | |
| 25 | 7,500 | 1,272.43 | 17.0 | \$1,265.40 | 16.9 | (7.03) | (0.55) | |
| 25 | 10,000 | 1,587.83 | 15.9 | \$1,674.37 | 16.7 | 86.54 | 5.45 | |
| 25 | 12,500 | 1,903.23 | 15.2 | \$2,083.34 | 16.7 | 180.11 | 9.46 | |
| 50 | 7,500 | 1,585.18 | 21.1 | \$1,265.40 | 16.9 | (319.78) | (20.17) | |
| 50 | 12,500 | 2,215.98 | 17.7 | \$2,083.34 | 16.7 | (132.64) | (5.99) | |
| 50 | 17,500 | 2,846.78 | 16.3 | \$2,901.28 | 16.6 | 54.50 | 1.91 | |

| Description Based on Current Rate Schedule | Current Rate | Proposed Rider EV Rate |
|---|--------------|------------------------|
| Customer Charge | \$38.50 | \$38.50 |
| Distribution Demand Charge (All Over 2 KW) per KW | \$6.06 | \$0.01522 |
| Distribution Charge (First 300 Times KW) per kWh | \$0.01780 | \$0.01780 |
| Distribution Charge (All Over 300 Times KW) per kWh | \$0.01780 | \$0.01780 |
| Transmission Demand Charge (All Over 2 KW) per KW | \$6.35 | \$0.02186 |
| SBC Charge (First 300 Times KW) per kWh | \$0.00132 | \$0.00132 |
| SBC Charge (All Over 300 Times KW) per kWh | \$0.00132 | \$0.00132 |
| CTA Demand Charge (All Over 2 KW) per KW | \$0.10 | \$0.00034 |
| CTA Charge (First 300 Times KW) per kWh | \$0.00000 | \$0.00000 |
| CTA Charge (All Over 300 Times KW) per kWh | \$0.00000 | \$0.00000 |
| GSC / EAC (First 300 Times KW) per kWh | \$0.08946 | \$0.08946 |
| GSC / EAC (All Over 300 Times KW) per kWh | \$0.08946 | \$0.08946 |
| Conservation Charge (First 300 Times KW) per kWh | \$0.00300 | \$0.00300 |
| Conservation Charge (All Over 300 Times KW) per kWh | \$0.00300 | \$0.00300 |
| CAM Charge (First 300 Times KW) per kWh | \$0.00300 | \$0.00300 |
| CAM Charge (All Over 300 Times KW) per kWh | \$0.00300 | \$0.00300 |
| Renewable Energy Charge (First 300 Times KW) per kWh | \$0.00100 | \$0.00100 |
| Renewable Energy Charge (All Over 300 Times KW) per kWh | \$0.00100 | \$0.00100 |
| FMCC-Delivery per kWh | \$0.00988 | \$0.00988 |
| FMCC-Generation per kWh | \$0.00070 | \$0.00070 |

Note: Manufacturing customers receive an additional 8.5% discount for GET Refund on Non-Generation Services.

The Connecticut Light and Power Company
Docket No. 13-12-11

Data Request PURA-01
Dated: 01/29/2014
Q-RA-004
Page 1 of 1

Witness: Edward A. Davis
Request from: Public Utilities Regulatory Authority

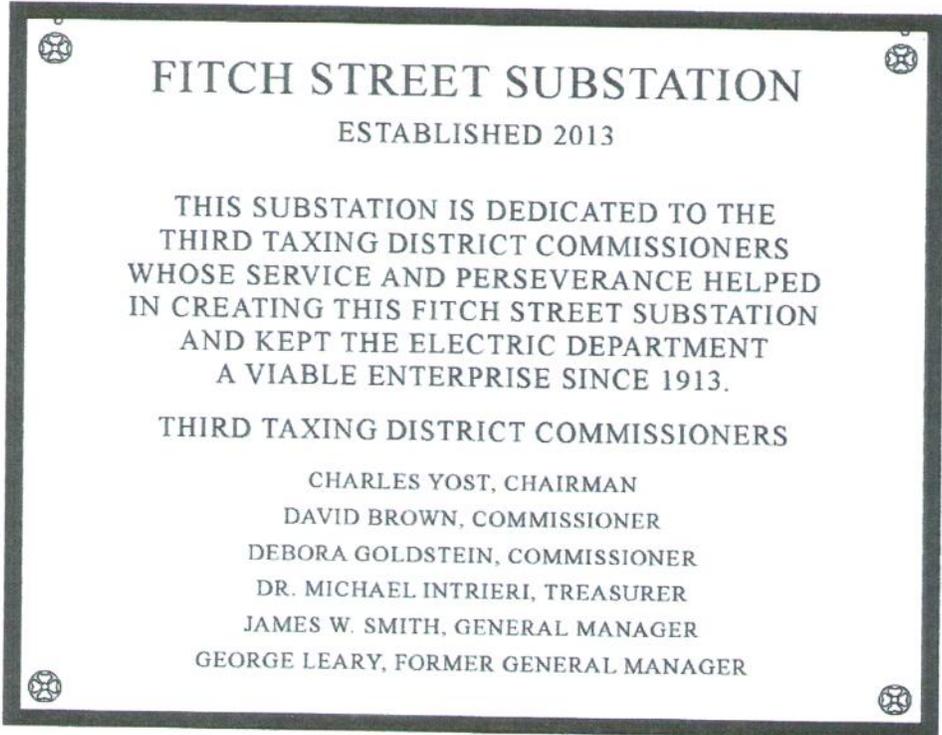
Question:
(REVENUES) Provide revenue forecasts for the proposed EVRRP for the current year and for each year that the proposed pilot is conducted.

Response:
The attached table provides a revenue forecast that includes projected EVRRP revenue for electric vehicle DC public fast-charging stations that are or will soon be in service. This forecast is based on rates provided in response to Q-RA-003.

The Connecticut Light and Power Company
 Projected 2014-2015 Electric Vehicle DC Fast-Charging Station Revenue *

| | Jan-14 | Feb-14 | Mar-14 | Apr-14 | May-14 | Jun-14 | Jul-14 | Aug-14 | Sep-14 | Oct-14 | Nov-14 | Dec-14 | Jan-15 | Feb-15 | Mar-15 | Apr-15 | May-15 | Jun-15 | Jul-15 | Aug-15 | Sep-15 | Oct-15 | Nov-15 | Dec-15 |
|--------------------------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|----------|----------|
| EV DC Fast-Charging Station 1 | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>Billing Determinants</i> | | | | | | | | | | | | | | | | | | | | | | | | |
| Consumption (kWh) | 459 | 574 | 689 | 803 | 918 | 1,033 | 1,148 | 1,262 | 1,377 | 1,492 | 1,607 | 1,721 | 1,836 | 1,951 | 2,066 | 2,180 | 2,295 | 2,410 | 2,525 | 2,639 | 2,754 | 2,869 | 2,984 | 3,099 |
| Peak Demand (kW) | 44.0 | 50.4 | 56.0 | 61.6 | 66.4 | 70.4 | 73.6 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 | 76.0 |
| <i>Proposed Pilot Rate</i> | | | | | | | | | | | | | | | | | | | | | | | | |
| Customer Charge (\$/month) | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 |
| Demand-Based Charge (\$/kW) | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 |
| Energy-Based Charge (\$/kWh) | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 |
| Billing | \$ 114 | \$ 132 | \$ 151 | \$ 170 | \$ 189 | \$ 207 | \$ 226 | \$ 245 | \$ 264 | \$ 283 | \$ 301 | \$ 320 | \$ 339 | \$ 358 | \$ 376 | \$ 395 | \$ 414 | \$ 433 | \$ 452 | \$ 470 | \$ 489 | \$ 508 | \$ 527 | \$ 545 |
| EV DC Fast-Charging Station 2 | | | | | | | | | | | | | | | | | | | | | | | | |
| <i>Billing Determinants</i> | | | | | | | | | | | | | | | | | | | | | | | | |
| Consumption (kWh) | 230 | 344 | 459 | 574 | 689 | 803 | 918 | 1,033 | 1,148 | 1,262 | 1,377 | 1,492 | 1,607 | 1,721 | 1,836 | 1,951 | 2,066 | 2,180 | 2,295 | 2,410 | 2,525 | 2,639 | 2,754 | 2,869 |
| Peak Demand (kW) | 28.8 | 36.8 | 44 | 50.4 | 56 | 61.6 | 66.4 | 70.4 | 73.6 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 | 76 |
| <i>Proposed Pilot Rate</i> | | | | | | | | | | | | | | | | | | | | | | | | |
| Customer Charge (\$/month) | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 | 38.50 |
| Demand-Based Charge (\$/kW) | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 |
| Energy-Based Charge (\$/kWh) | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 | 0.16359 |
| Billing | \$ 76 | \$ 95 | \$ 114 | \$ 132 | \$ 151 | \$ 170 | \$ 189 | \$ 207 | \$ 226 | \$ 245 | \$ 264 | \$ 283 | \$ 301 | \$ 320 | \$ 339 | \$ 358 | \$ 376 | \$ 395 | \$ 414 | \$ 433 | \$ 452 | \$ 470 | \$ 489 | \$ 508 |
| Total Projected Revenue | \$ 190 | \$ 227 | \$ 265 | \$ 302 | \$ 340 | \$ 377 | \$ 415 | \$ 452 | \$ 490 | \$ 528 | \$ 565 | \$ 603 | \$ 640 | \$ 678 | \$ 715 | \$ 753 | \$ 790 | \$ 828 | \$ 865 | \$ 903 | \$ 941 | \$ 978 | \$ 1,016 | \$ 1,053 |

* All Projections Based on Proposed EV Pilot Rates Developed Using Rates in Effect January 1, 2014



25% Scale

Project Specifications:

- Material:** cast BRONZE
- Size:** 16" ha x 20"we x 1/2" thick
- Border:** 1/2" wide Single Line
- Letter style:** Times Roman
- Background texture:** Pebble
- Background color:** Dark Brown
- Mounting:** 4 holes/rosettes

Approval: _____

date: _____

**Third Taxing District
Financial Highlights
July-December 2013**

| | Jul-Jan-14 | Jul-Dec-13 | \$ Change | % Change |
|--------------------------------------|------------|------------|-----------|----------|
| Total Income | 6,299,450 | 6,068,814 | 230,636 | 4% |
| Total Expense | 6,181,150 | 5,812,038 | 369,112 | 6% |
| Net Ordinary Income | 118,299 | 256,776 | (138,476) | -54% |
| Other Income | 316,487 | 95,917 | 220,570 | 230% |
| Other Expense | 546 | - | 546 | 0% |
| Net Income before Rate Stabilization | 434,241 | 352,693 | 81,548 | 23% |
| Rate Stabilization | 171,903 | 58,198 | 113,705 | 195% |
| Net Income | 606,144 | 410,891 | 195,253 | 48% |

CASH BALANCES FY 2013

ACCTS

| | January |
|------------------------------|---------|
| Operating Accounts | 923,294 |
| Capital Improvements Fund | 881,324 |
| Construction Work In Process | 77,733 |

| Power Supply | Current Fiscal Year-to-Date | Last Fiscal Year-to-Date |
|------------------------------|-----------------------------|--------------------------|
| Energy Cost | \$ 3,419,017 | \$ 3,409,940 |
| Budget Energy Cost | \$ 3,377,976 | \$ 3,351,420 |
| Energy Cost Cents/KWH | 10.249 | 10.340 |

Third Taxing District
Profit & Loss Prev Year Comparison
 July 2013 through January 2014

| | Jul '13 - Jan 14 | Jul '12 - Jan 13 | \$ Change | % Change |
|---|---------------------|---------------------|--------------------|----------------|
| Ordinary Income/Expense | | | | |
| Income | | | | |
| 440-00 · Residential Sales | 1,656,328.14 | 1,659,813.25 | -3,485.11 | -0.21% |
| 442-01 · Large Commercial Sales | 406,921.43 | 372,658.39 | 34,263.04 | 9.19% |
| 442-02 · Small Commercial Sales | 1,148,220.33 | 1,191,386.04 | -43,165.71 | -3.62% |
| 442-03 · Cervallis Sales | 96,131.40 | 0.00 | 96,131.40 | 100.0% |
| 445-01 · Water Pollutn Contrl Plnt Sales | 425,673.36 | 439,880.69 | -14,207.33 | -3.23% |
| 445-02 · Flat Rate | 53,841.90 | 52,719.15 | 1,122.75 | 2.13% |
| 451-00 · Miscellaneous Service Revenue | 57,630.62 | 0.00 | 57,630.62 | 100.0% |
| 557-00 · Purchased Power Adjustment | 2,454,702.66 | 2,352,356.23 | 102,346.43 | 4.35% |
| Total Income | 6,299,449.84 | 6,068,813.75 | 230,636.09 | 3.8% |
| Cost of Goods Sold | | | | |
| 555-00 · Electrical Power Purchased | 4,078,861.72 | 4,054,712.98 | 24,148.74 | 0.6% |
| Total COGS | 4,078,861.72 | 4,054,712.98 | 24,148.74 | 0.6% |
| Gross Profit | 2,220,588.12 | 2,014,100.77 | 206,487.35 | 10.25% |
| Expense | | | | |
| 904-00 · Substation | 18,439.56 | 0.00 | 18,439.56 | 100.0% |
| 930-43 · TTD 100th Anniversary | 36,099.47 | 0.00 | 36,099.47 | 100.0% |
| 403-00 · Depreciation Expense | 292,186.36 | 242,791.22 | 49,395.14 | 20.35% |
| 408-00 · Taxes | 171,726.70 | 180,353.46 | -8,626.76 | -4.78% |
| 540-00 · Other Power Generation Expense | 64,681.56 | 48,118.28 | 16,563.28 | 34.42% |
| 565-00 · Transmission Expenses | 0.00 | 1,237.50 | -1,237.50 | -100.0% |
| 580-00 · Distribution Expenses | 32,296.51 | 31,480.57 | 815.94 | 2.59% |
| 590-00 · Maintenance Expenses | 330,447.08 | 246,247.84 | 84,199.24 | 34.19% |
| 900-00 · Customer Accounts & Service | 285,481.59 | 243,947.89 | 41,533.70 | 17.03% |
| 909-00 · Conservation Expenses | 296.00 | 0.00 | 296.00 | 100.0% |
| 920-00 · Administrative Expenses | 870,633.86 | 763,148.28 | 107,485.58 | 14.08% |
| Total Expense | 2,102,288.69 | 1,757,325.04 | 344,963.65 | 19.63% |
| Net Ordinary Income | 118,299.43 | 256,775.73 | -138,476.30 | -53.93% |
| Other Income/Expense | | | | |
| Other Income | | | | |
| 418-00 · Dividends | 2,650.00 | 6.20 | 2,643.80 | 42,641.94% |
| 419-00 · Interest Income | 13,500.00 | 46,299.42 | -32,799.42 | -70.84% |
| 420-00 · Gain/(Loss) on Investments | 0.00 | -22,949.30 | 22,949.30 | 100.0% |
| 421-00 · Norden Project Income | 255,987.41 | 21,299.23 | 234,688.18 | 1,101.86% |
| 423-00 · Gain/(Loss) from Sale of FA | 12,500.00 | 2,032.50 | 10,467.50 | 515.01% |
| 424-00 · Energy Conservation Fund Income | 31,849.83 | 48,875.95 | -17,026.12 | -34.84% |
| 425-00 · Miscellaneous Income | 0.00 | 353.12 | -353.12 | -100.0% |
| Total Other Income | 316,487.24 | 95,917.12 | 220,570.12 | 229.96% |
| Other Expense | | | | |
| 942-00 · Interest Expense | 546.05 | 0.00 | 546.05 | 100.0% |
| Total Other Expense | 546.05 | 0.00 | 546.05 | 100.0% |
| Net Other Income | 315,941.19 | 95,917.12 | 220,024.07 | 229.39% |
| Net Income before rate stabilization | 434,240.62 | 352,692.85 | 81,547.77 | 23.12% |
| Rate Stabilization | 171,903.06 | 58,197.70 | 113,705.36 | 195.38% |
| Net Income | 606,143.68 | 410,890.55 | 195,253.13 | 47.52% |

Third Taxing District
Profit & Loss Prev Year Comparison
January 2014

| | Jan 14 | Jan 13 | \$ Change | % Change |
|---|---------------------|-------------------|-------------------|------------------|
| Ordinary Income/Expense | | | | |
| Income | | | | |
| 440-00 · Residential Sales | 326,537.89 | 249,464.47 | 77,073.42 | 30.9% |
| 442-01 · Large Commercial Sales | 77,643.60 | 60,433.02 | 17,210.58 | 28.48% |
| 442-02 · Small Commercial Sales | 168,750.60 | 161,586.11 | 7,164.49 | 4.43% |
| 442-03 · Cervalis Sales | 78,038.55 | 0.00 | 78,038.55 | 100.0% |
| 445-01 · Water Pollutn Contrl Pnt Sales | 79,606.38 | 67,337.82 | 12,268.56 | 18.22% |
| 445-02 · Flat Rate | 7,423.06 | 8,869.29 | -1,446.23 | -16.31% |
| 557-00 · Purchased Power Adjustment | 498,573.58 | 357,913.90 | 140,659.68 | 39.3% |
| Total Income | 1,236,573.66 | 905,604.61 | 330,969.05 | 36.55% |
| Cost of Goods Sold | | | | |
| 555-00 · Electrical Power Purchased | 633,178.03 | 578,933.81 | 54,244.22 | 9.37% |
| Total COGS | 633,178.03 | 578,933.81 | 54,244.22 | 9.37% |
| Gross Profit | 603,395.63 | 326,670.80 | 276,724.83 | 84.71% |
| Expense | | | | |
| 904-00 · Substation | 18,439.56 | 0.00 | 18,439.56 | 100.0% |
| 403-00 · Depreciation Expense | 60,370.35 | 34,684.46 | 25,685.89 | 74.06% |
| 408-00 · Taxes | 57,469.87 | 71,334.96 | -13,865.09 | -19.44% |
| 540-00 · Other Power Generation Expense | 19,974.67 | 6,362.83 | 13,611.84 | 213.93% |
| 565-00 · Transmission Expenses | 0.00 | 506.25 | -506.25 | -100.0% |
| 580-00 · Distribution Expenses | 3,894.17 | 6,808.65 | -2,914.48 | -42.81% |
| 590-00 · Maintenance Expenses | 53,223.94 | 37,744.17 | 15,479.77 | 41.01% |
| 900-00 · Customer Accounts & Service | 29,755.41 | 37,778.70 | -8,023.29 | -21.24% |
| 909-00 · Conservation Expenses | 296.00 | 0.00 | 296.00 | 100.0% |
| 920-00 · Administrative Expenses | 210,836.30 | 126,697.00 | 84,139.30 | 66.41% |
| Total Expense | 454,260.27 | 321,917.02 | 132,343.25 | 41.11% |
| Net Ordinary Income | 149,135.36 | 4,753.78 | 144,381.58 | 3,037.2% |
| Other Income/Expense | | | | |
| Other Income | | | | |
| 418-00 · Dividends | 2,650.00 | 0.00 | 2,650.00 | 100% |
| 419-00 · Interest Income | 1,600.00 | 7,406.64 | -5,806.64 | -78.4% |
| 420-00 · Gain/(Loss) on Investments | 0.00 | -869.54 | 869.54 | 100.0% |
| 421-00 · Norden Project Income | 0.00 | 0.00 | 0.00 | 0.0% |
| 423-00 · Gain/(Loss) from Sale of FA | 0.00 | 2,032.50 | -2,032.50 | -100.0% |
| 424-00 · Energy Conservation Fund Income | 18,891.32 | 7,972.75 | 10,918.57 | 136.95% |
| Total Other Income | 23,141.32 | 16,542.35 | 6,598.97 | 39.89% |
| Other Expense | | | | |
| 942-00 · Interest Expense | 372.27 | 0.00 | 372.27 | 100.0% |
| Total Other Expense | 372.27 | 0.00 | 372.27 | 100.0% |
| Net Other Income | 22,769.05 | 16,542.35 | 6,226.70 | 37.64% |
| Net Income before rate stabilization | 171,904.41 | 21,296.13 | 150,608.28 | 707.21% |
| Rate Stabilization | 88,338.68 | -36,105.12 | 124,443.80 | 344.67% |
| Net Income | 260,243.09 | -14,808.99 | 275,052.08 | 1,857.33% |

Preliminary Unaudited - Internal Use Only - Modified Cash Basis

Third Taxing District
Profit & Loss Statement
Explanation of Major Variances
Jul-Jan 2014 vs. Jul-Jan 2013

1. The 57,630.62 in miscellaneous revenue is a bill to the Norwalk Transit District for the accident on East Avenue where a bus collided with the Pole and caused significant damage.
2. The increase in substation expense of \$18K is due to the fact that a separate payroll expense category was setup for Pete Johnson and Scott Tracey who will be working on the substation.
3. Depreciation expense is up due primarily to the depreciation of substation which came on line in January of 2014. The substation will be depreciated over 20 year and will have annual depreciation of 251,328 or monthly depreciation of 20,944.
4. The \$16K increase in Other Power Generation Expense is due to increased running of the Norden Generators over the prior year which resulted in more fuel and repair and maintenance expenditures.
5. The \$84K increase in Maintenance Expense is due to approximately \$30K in substation maintenance mostly from Eleck & Salvato and a \$50K increase in Overhead Lines Maintenance from KTI Utility and WESCO due to repair and maintenance services.
6. The approximate \$41K increase in Customer Accounts & Service expense is due to an increase in payroll and call time, \$5K in timing of purchases of paper from Lindenmeyer Munroe, and 4K increase from the prior year in credit card fees as more and more customers are processing electronic payments. In addition, James Tracey was added as an employee during the current fiscal year.
7. The increase of \$107K in Administrative Expenses is due to the following:
 - a. \$17K in actuary fees that is paid every 2 years.
 - b. \$22K paid to Utility Financial Solutions for rate study
 - c. \$15K for Cogsdale upgrade
 - d. \$17K in timing of insurance health premiums/expenses
 - e. \$19 increase in auto mainly due to deposit and lease payments to Altec for new truck.
8. The \$22K decrease in Gain/(Loss) on investments is due to the fact that the investment account has been invested in cash (money markets) for liquidity

purposes. The investments are adjusted to Fair Market Value for unrealized gains/(losses) that get booked to this account. The investment account has remained in cash for liquidity due to the funding needs of the substation and Cervalis data center projects. Management will be looking into other investment vehicles with appropriate returns as these projects come to a close.

9. The increase of approximately \$255K of Norden Income is due to the fact that TTD has been called on to place the generators in service for a significant period of time versus the prior year.
10. The 12,500 gain on sale of fixed assets is from the sale of the bucket truck which was fully depreciated.

THIRD TAXING DISTRICT
KEY PERFORMANCE INDICATORS (KPI'S)

| | | 2013 | January 2012 | Industry Average (Bandwidth) |
|----|---|---|-----------------|---------------------------------|
| 1) | OPERATING RATIO | TOTAL OPERATING EXPENSE / TOTAL OPERATING REVENUE | 95.77% | 87% - 92% |
| 2) | POWER SUPPLY EXPENSE RATIO | TOTAL POWER SUPPLY EXPENSES / TOTAL EXPENSES | 70% | 65% - 70% |
| 3) | BAD DEBT RATIO | TOTAL CUSTOMER ACCOUNTS OVER 90 DAY / TOTAL ACCOUNTS RECEIVABLE | 9.99% | 3% - 10% |
| 4) | ACTUAL RATE OF RETURN ON RATE BASE | AUTHORIZED BY STATE STATUTE | N/A | Varies by state |
| 5) | ELECTRIC CUSTOMERS PER EMPLOYEE | TOTAL ELECTRIC CUSTOMERS / TOTAL FULL TIME EMPLOYEES | 367 | 200 - 500 |